

## PATENT COOPERATION TREATY

## PCT

## INTERNATIONAL SEARCH REPORT

(PCT Article 18 and Rules 43 and 44)

Applicant's or agent's file reference <b>H802542</b>	<b>FOR FURTHER ACTION</b> see Notification of Transmittal of International Search Report (Form PCT/ISA/220) as well as, where applicable, item 5 below.	
International application No. <b>PCT/CA 98/00249</b>	International filing date (day/month/year) <b>20/03/1998</b>	(Earliest) Priority Date (day/month/year) <b>21/03/1997</b>
Applicant <b>NORTHERN EDGE ASSOCIATES INC. et al.</b>		

This International Search Report has been prepared by this International Searching Authority and is transmitted to the applicant according to Article 18. A copy is being transmitted to the International Bureau.

This International Search Report consists of a total of 3 sheets.

It is also accompanied by a copy of each prior art document cited in this report.

1.  Certain claims were found unsearchable (see Box I).
2.  Unity of invention is lacking (see Box II).
3.  The international application contains disclosure of a nucleotide and/or amino acid sequence listing and the international search was carried out on the basis of the sequence listing
  - filed with the international application.
  - furnished by the applicant separately from the international application.
    - but not accompanied by a statement to the effect that it did not include matter going beyond the disclosure in the international application as filed.
  - Transcribed by this Authority
4. With regard to the title,  the text is approved as submitted by the applicant
  - the text has been established by this Authority to read as follows:
5. With regard to the abstract,
  - the text is approved as submitted by the applicant
  - the text has been established, according to Rule 38.2(b), by this Authority as it appears in Box III. The applicant may, within one month from the date of mailing of this International Search Report, submit comments to this Authority.
6. The figure of the drawings to be published with the abstract is:
 

Figure No. 1

  - as suggested by the applicant.
  - because the applicant failed to suggest a figure.
  - because this figure better characterizes the invention.

None of the figures.

# INTERNATIONAL SEARCH REPORT

National Application No

PCT/CA 98/00249

**A. CLASSIFICATION OF SUBJECT MATTER**  
 IPC 6 G02B21/34 B01L3/00

According to International Patent Classification(IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)

IPC 6 G02B B01L C12M

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	US 5 349 436 A (FISCH HARRY) 20 September 1994	1-3, 9, 16, 20, 40, 43 4-6, 10, 11, 17, 19
A	see column 3, line 23 - column 5, line 29; figures 1-4 ---	
Y	WO 95 31529 A (BIOMED DIAGNOSTICS INC) 23 November 1995	1-3, 9, 16, 20, 40, 43 11, 12, 38, 39, 44
A	see page 5, line 8 - page 6, line 3 see page 7, line 7 - page 9, line 5; figures 1-3, 5-7 ---	-/-

Further documents are listed in the continuation of box C.

Patent family members are listed in annex.

° Special categories of cited documents :

- "A" document defining the general state of the art which is not considered to be of particular relevance
- "E" earlier document but published on or after the international filing date
- "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

"&" document member of the same patent family

Date of the actual completion of the international search

Date of mailing of the international search report

8 July 1998

21/07/1998

Name and mailing address of the ISA

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Authorized officer

THEOPISTOU, P

## INTERNATIONAL SEARCH REPORT

International Application No  
PCT/CA 98/00249

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 4 790 640 A (NASON FREDERIC L) 13 December 1988  see column 3, line 45 - column 5, line 38 see column 6, line 50 - column 9, line 2; figures 1-3,7,8,11 ---	1,2,5,9, 10, 21-24,40
A	DE 39 15 920 A (MESSERSCHMITT BOELKOW BLOHM) 22 November 1990  see column 2, line 22 - column 7, line 50; figures 1-13 ---	21-37
A	US 4 674 846 A (LIPPMAN ROBERT) 23 June 1987  see column 2, line 38 - column 4, line 3; figures 1-6 ---	25,28, 33,35
A	EP 0 617 282 A (DIFCO LAB) 28 September 1994  see column 3, line 41 - column 5, line 16 see column 6, line 39 - column 7, line 2; figures 1,4 -----	5,7-14

**INTERNATIONAL SEARCH REPORT**

Information on patent family members

International Application No

PCT/CA 98/00249

Patent document cited in search report	Publication date	Patent family member(s)		Publication date
US 5349436	A 20-09-1994	US RE35589	E	19-08-1997
WO 9531529	A 23-11-1995	US 5661029	A	26-08-1997
		AU 2550795	A	05-12-1995
US 4790640	A 13-12-1988	NONE		
DE 3915920	A 22-11-1990	NONE		
US 4674846	A 23-06-1987	NONE		
EP 0617282	A 28-09-1994	US 5411893	A	02-05-1995

## F. FENT COOPERATION TREATY

From the INTERNATIONAL BUREAU

PCT

## NOTIFICATION OF ELECTION

(PCT Rule 61.2)

Date of mailing (day/month/year) 03 November 1998 (03.11.98)	To:  United States Patent and Trademark Office (Box PCT) Crystal Plaza 2 Washington, DC 20231 ÉTATS-UNIS D'AMÉRIQUE
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in its capacity as elected Office

International application No. PCT/CA98/00249	Applicant's or agent's file reference H802542
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International filing date (day/month/year) 20 March 1998 (20.03.98)	Priority date (day/month/year) 21 March 1997 (21.03.97)
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## Applicant

RICHARDSON, Timothy, M.

1. The designated Office is hereby notified of its election made:

in the demand filed with the International Preliminary Examining Authority on:

19 October 1998 (19.10.98)

in a notice effecting later election filed with the International Bureau on:

\_\_\_\_\_

2. The election  was

was not

made before the expiration of 19 months from the priority date or, where Rule 32 applies, within the time limit under Rule 32.2(b).

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland  Facsimile No.: (41-22) 740.14.35	Authorized officer  G. Bähr  Telephone No.: (41-22) 338.83.38
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## PATENT COOPERATION TREATY

From the INTERNATIONAL BUREAU

**PCT****COMMUNICATION IN CASES FOR WHICH  
NO OTHER FORM IS APPLICABLE**

To:

STRATTON, Robert, P.  
 Gowling, Strathy & Henderson  
 Suite 4900  
 Commerce Court West  
 Toronto, Ontario M5L 1J3  
 CANADA

Date of mailing (day/month/year) 04 June 1998 (04.06.1998)	
Applicant's or agent's file reference H802542	<b>REPLY DUE</b> see paragraph 1 below
International application No. PCT/CA98/00249	International filing date (day/month/year) 20 March 1998 (20.03.1998)
Applicant NORTHERN EDGE ASSOCIATES INC.	

1.  REPLY DUE within \_\_\_\_\_ months/days from the above date of mailing  
 NO REPLY DUE, however, see below  
 IMPORTANT COMMUNICATION  
 INFORMATION ONLY

## 2. COMMUNICATION:

In respect of the above-identified international application, please be informed that, consequent to the applicant's timely filed request for rectification of an obvious error according to PCT Rule 91, the receiving Office has informed the International Bureau that the rectification is to be authorized as requested by the applicant.

The priority claim(s) in Box VI of the request form should read:

<u>Country</u>	<u>Filing Date</u>	<u>Application No.</u>
US	05 June 1997 (05.06.1997)	08/870,242
instead of:		
US	06 June 1997 (06.06.1997)	08/870,242

A copy of this communication has been sent to the receiving Office (RO/CA), the International Searching Authority (ISA/EP), and the designated Offices which have already been notified of the receipt of the record copy.

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland  Facsimile No. (41-22) 740.14.35	Authorized officer M. Abidine  Telephone No. (41-22) 338.83.38
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## PATENT COOPERATION TREATY

PCT

INFORMATION CONCERNING ELECTED  
OFFICES NOTIFIED OF THEIR ELECTION

(PCT Rule 61.3)

From the INTERNATIONAL BUREAU

To:

STRATTON, Robert P.  
 Gowling, Strathy & Henderson  
 Suite 4900  
 Commerce Court West  
 Toronto, Ontario M5L 1J3  
 CANADA

Date of mailing (day/month/year) 03 November 1998 (03.11.98)		
Applicant's or agent's file reference H802542	IMPORTANT INFORMATION	
International application No. PCT/CA98/00249	International filing date (day/month/year) 20 March 1998 (20.03.98)	Priority date (day/month/year) 21 March 1997 (21.03.97)
Applicant NORTHERN EDGE ASSOCIATES INC. et al		

1. The applicant is hereby informed that the International Bureau has, according to Article 31(7), notified each of the following Offices of its election:

AP :GH,GM,KE,LS,MW,SD,SZ,UG,ZW  
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2. The following Offices have waived the requirement for the notification of their election; the notification will be sent to them by the International Bureau only upon their request:

EA :AM,AZ,BY,KG,KZ,MD,RU,TJ,TM  
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3. The applicant is reminded that he must enter the "national phase" before the expiration of 30 months from the priority date before each of the Offices listed above. This must be done by paying the national fee(s) and furnishing, if prescribed, a translation of the international application (Article 39(1)(a)), as well as, where applicable, by furnishing a translation of any annexes of the international preliminary examination report (Article 36(3)(b) and Rule 74.1).

Some offices have fixed time limits expiring later than the above-mentioned time limit. For detailed information about the applicable time limits and the acts to be performed upon entry into the national phase before a particular Office, see Volume II of the PCT Applicant's Guide.

The entry into the European regional phase is postponed until 31 months from the priority date for all States designated for the purposes of obtaining a European patent.

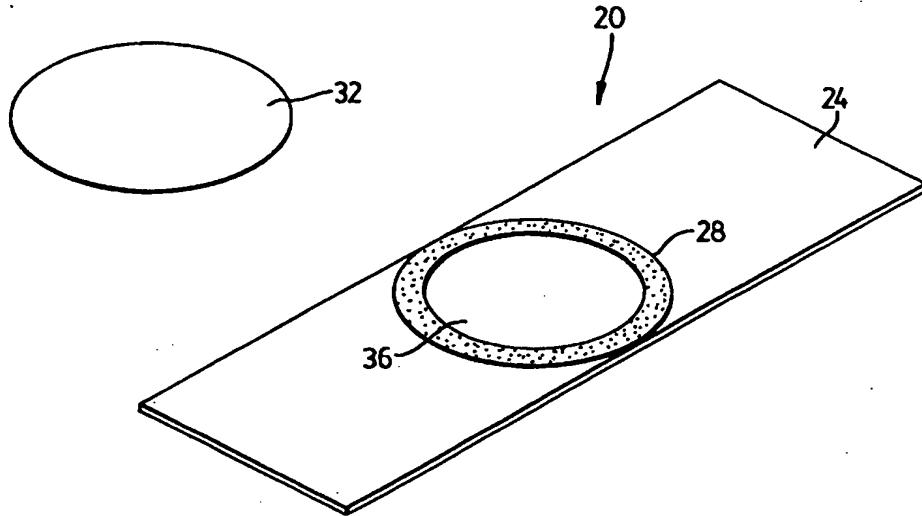
The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland  Facsimile No. (41-22) 740.14.35	Authorized officer:  G. Bähr  Telephone No. (41-22) 338.83.38
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## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification <sup>6</sup> : <b>G02B 21/34, B01L 3/00</b>		A1	(11) International Publication Number: <b>WO 98/43123</b> (43) International Publication Date: 1 October 1998 (01.10.98)
<p>(21) International Application Number: PCT/CA98/00249</p> <p>(22) International Filing Date: 20 March 1998 (20.03.98)</p> <p>(30) Priority Data: 60/041,280 21 March 1997 (21.03.97) US 08/870,242 5 June 1997 (05.06.97) US</p> <p>(71) Applicant (for all designated States except US): NORTHERN EDGE ASSOCIATES INC. [CA/CA]; P.O. Box 23, Bolton, Ontario L7E 5T1 (CA).</p> <p>(72) Inventor; and</p> <p>(75) Inventor/Applicant (for US only): RICHARDSON, Timothy, M. [CA/CA]; 16 Matson Drive, Bolton, Ontario L7E 5R8 (CA).</p> <p>(74) Agents: STRATTON, Robert, P. et al.; Gowling, Strathy &amp; Henderson, Suite 4900, Commerce Court West, Toronto, Ontario M5L 1J3 (CA).</p>		<p>(81) Designated States: AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, GH, HU, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).</p> <p><b>Published</b> With international search report. Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</p>	

(54) Title: MICROSCOPE SLIDE SYSTEM AND METHOD OF USE



## (57) Abstract

A slide system allowing rapid, sterile, clean and safe preparation and use of microscope slides containing sample materials including living and/or hazardous preparations or samples. In one embodiment, the system comprises a slide base and cover slip which are preferably precleaned and supplied in a sterile wrapper. The cover slip, slide base or both have an adhesive coating that surrounds a sample area to adhere the cover slip to the slide base, thereby creating a sealed sample area or chamber that is defined by the slide, the cover slip, and the adhesive. In another embodiment, the slide base has one or two grooves or depressions in the surface of the slide base positioned within the sample area, the grooves or depressions accommodating extra or expanding sample material to prevent loss of the sample material into the environment and prevent damage to the adhesive and/or cover slip. A layer of an active element, such as a neutralizing compound, can be provided to neutralize sample materials before they escape past the adhesive. The wrapper is designed to facilitate easy handling and to permit convenient and easy mounting of sample material into the slide.

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Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
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EE	Estonia						

## **MICROSCOPE SLIDE SYSTEM AND METHOD OF USE**

### **FIELD OF THE INVENTION**

The present invention relates to a microscope slide system and to a method of preparing  
5 specimens on microscope slides.

### **BACKGROUND OF THE INVENTION**

The preparation of live samples, culture of cells, bacteria, viruses, other living materials, chemical compositions, etc. for microscopic examination presents technical problems in the  
10 preparation of the slide, both from a sealing perspective and from a hazard protection standpoint. The hazards presented by working with types of sample materials, such as live cells, live cultures of bacteria, viruses, or other biohazardous or chemically hazardous materials make it important to prevent the sample material from escaping from the slide into the outside environment, thereby potentially putting the microscopist and/or public and environment at  
15 risk.

The components of a conventional microscope slide include a slide base, a cover slip, and mounting media or mountant to affix the sample material and the cover slip to the slide base. Microscope slides of living samples or samples in fluid media are conventionally  
20 prepared by simply mounting the sample material in a suitable mounting media, such as distilled water or glycerin, on the surface of the slide base. The cover slip is then placed on top of the sample material and the mounting media and is affixed to the slide base by the adhesive action (surface tension) of the mounting media.

25 In order to obtain an accurate image of living material samples, it is essential that the slide base, cover slip, and the mountant are sterilized prior to the slide preparation process to prevent contamination of the sample. The slide preparation process is even more demanding when slides are prepared for use under very high magnifications and under special conditions including polarized light or dark field illumination. In these cases, various contaminating  
30 effects including very small contaminating particles, irregularities, and scratches in the slide, mountant, sample, or cover slip can obscure critical areas of the magnified image of the sample

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material. The microscopist could mistakenly interpret these contaminating effects in the sample image as being an actual part of the sample material. Conversely, the microscopist could mistakenly interpret that a real part of the sample material is an "artifact" and not part of the actual sample material. Accordingly, the clean and sterile condition of the slide, cover slip, and 5 mounting media must be maintained while handling them during the mounting process.

In practice, the microscopist has to clean the slide base and cover slip and handle them carefully while loading a sample and/or mountant and while combining them to ensure that they are not damaged and that they and the sample and mountant is not contaminated. This process 10 can be very difficult to achieve and is quite time consuming at best. Thus, the application of the mountant and the sample material involves a good deal of skill and attention on the part of the microscopist.

In addition, conventional microscopy slides can encounter problems when liquid sample 15 materials are covered with a cover slip. For example, if too much sample material is placed on the slide base and the cover slip is placed on the sample material, the excess sample material will be squeezed out from under the cover slip, thereby possibly contaminating the user, the equipment, and the environment. This problem is caused by the generally incompressible nature of liquid within the sample material. To date, no practical solution has been found to 20 address this problem.

A further disadvantage of conventional slides occurs when the sample material expands as a result of heating or chemical reaction. As a result of this expansion, the sample material may escape and contaminate the environment. To date, there has been no appropriate means 25 or method to control the expansion of the sample material.

Further, there is a need for a convenient means and method for testing the effects of a palette of antibiotics , antiseptics and other chemical agents on bacteria, viruses, cells directly on the microscope slide.

30

Further, focusing of the objective of a microscope on the slide is a matter which requires

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careful and skilled adjustment of the fine focus and coarse focus of the microscope. This focussing process can be particularly difficult for people who are not experienced with microscope operation and are not able to judge the thickness of the prepared slide. For example, improper focussing of the objective can cause the objective lens to contact the slide,  
5 thereby damaging the objective and/or, more importantly, the prepared slide which can result in a breach in the cover slip or slide, permitting the sample material to escape therefrom. This is especially worrisome when the sample material is toxic or biohazardous as the sample can contaminate the microscope and the environment.

10 **SUMMARY OF THE INVENTION**

It is an object of the present invention to provide a novel microscope slide system. It is a further object of the present invention to provide a novel method of preparing microscope slides.

15 According to first aspect of the present invention, there is provided slide system for microscopy comprising: a slide base; a cover slip; and an adhesive layer on a surface of at least one of said slide base and said cover slip, said adhesive layer surrounding a portion of said surface such that when said slide base and cover slip are engaged with said adhesive layer to form an assembled slide, said adhesive layer and said cover slip enclose and define a sealed  
20 sample area.

According to another aspect of the present invention, there is provided a method of preparing a slide for microscopy, comprising the steps of: (i) placing a sample material on a surface of one of a slide base and a cover slip within a sample area surrounded by an adhesive material on said surface; (ii) locating the other of said cover slip and said slide base over said sample area to engage said adhesive material; and (iii) pressing said slide cover and said slide base to form a sealed sample area.  
25

According to another aspect of the present invention, there is provided a slide system  
30 for microscopy comprising:  
a cover slip; and

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a slide base including a surface having an expansion volume formed there, said expansion volume surrounding a sample area on said surface and receiving sample material from said sample area when said cover slip is placed on said sample area.

## 5 BRIEF DESCRIPTION OF THE DRAWINGS

Preferred embodiments of the present invention will now be described, by way of example only, with reference to the attached Figures, wherein:

Figure 1 shows a microscope slide system in accordance with the present invention;

Figure 2 shows a cross-section through a sample area of an assembled slide system of

10 Figure 1;

Figure 3 shows a cross-section through a sample area of an assembled slide system of Figure 1 which further includes a spacer;

Figure 4 shows another microscope slide system in accordance with the present invention;

15 Figure 4a shows a cross-section taken along line 4a-4a of Figure 4;

Figure 5 shows a cross-section through a sample area of a microscope slide system in accordance with the present invention which includes two expansion volumes;

Figure 6 shows another microscope slide system in accordance with the present invention which includes an active element;

20 Figure 7 shows another microscope slide system in accordance with the present invention which includes test materials;

Figure 8 shows another microscope slide system in accordance with the present invention which includes electrical conductors;

25 Figure 9 shows the microscope slide system of Figure 8 further including a coating in a sample area;

Figure 10 shows another microscope slide system in accordance with the present invention which includes electrical conductors in a sample area;

30 Figure 11 shows a cross section through a sample area of an assembled slide of another microscope slide system in accordance with the present invention which includes an electric circuit component;

Figure 12 shows another microscope slide system in accordance with the present

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invention which includes a pair of microperfusion ports;

Figure 13 shows a cross section through a sample area of an assembled slide of another microscope slide system in accordance with the present invention which includes a pair of microperfusion ports connected on the lower surface of the slide;

5       Figure 14 shows a cross section through a sample area of an assembled slide of another microscope slide system in accordance with the present invention which includes a pair of microperfusion ports connected on the upper surface of the slide;

Figure 15 shows a cross-section through a sample area of a microscope slide system in accordance with the present invention which includes thin films of metal or other suitable  
10 materials;

Figure 16 shows a package for delivering a microscope slide system in accordance with the present invention; and

Figure 17 shows another package for delivering a microscope slide system in accordance with the present invention.

15

#### **DETAILED DESCRIPTION OF THE INVENTION**

A microscope slide system according to the present invention is indicated generally at 20 in Figure 1. As shown, slide system 20 includes a slide base 24, an adhesive 28 region and a cover slip 32. In this embodiment of the present invention, adhesive 28 is located on an upper 20 surface of slide base 24 and encircles a sample area 36 on slide base 24 which is intended to receive sample material (not shown).

In the example of Figure 1, adhesive 28 is applied only to slide base 24. However, it is contemplated that adhesive material can be applied to slide base 24 and/or cover slip 32, 25 depending on the application. For example, in cases where living cells are cultured on cover slip 32, adhesive 28 could be located only on slide base 24.

The particular adhesive used is not particularly limited and any suitable adhesive as will occur to those of skill in the art can be employed. For example, adhesives such as #200, "Hi-  
30 Performance" Acrylic adhesive, manufactured by the 3M Company, can be employed for permanent sealing or #300, "Hi-Strength" Acrylic adhesive or #320, "Hi-Tenacity" Acrylic

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adhesive, also manufactured by the 3M Company can be employed for moderate sealing requirements.

It is also contemplated that the adhesive could have a variety of forms. For example,  
5 the adhesive can be applied as a one-part adhesive system and coated onto either or both of slide base 24 or cover slip 32. Alternatively, it is contemplated that the adhesive could also comprise a two part adhesive system, with a first part applied to slide base 24 and an activating second part applied to cover slip 32 such that when cover slip 32 is placed over sample area 36, the two adhesive regions are brought into contact and the adhesive bond is formed by the  
10 catalytic or stoichiometric reaction of the two adhesive parts. It is also contemplated that a settable adhesive can be employed, for example a thermosetting or UV-curable adhesive can be employed, depending upon whatever limitations the sample material will impose on the setting process.

15 Further, the adhesive could be applied in the form of a diecut adhesive double-sided tape or film. The adhesive on the double-sided tape can be a permanent hardening adhesive such as 3M™ automotive trim adhesives. It is also contemplated that the tape's adhesive could be a long term flexible type and/or removable adhesive such as those used in adhesive bandages or tapes.

20 A low tack adhesive can be employed in situations where the ability to remove cover slip 32 to access the sample material and/or add to it, is desired. Adhesives such as #1000 Repositionable Acrylic adhesive as manufactured by the 3M Company can be employed for this purpose. In such a case, for example, cover slip 32 can be removed to access sample area 36 and then replaced, as desired. Such an adhesive is also useful for adhering components of slide system 20 to a packaging material, as described below.

25 It is further contemplated that a serial number, or batch number, can be provided for slide system 20. In particular, it is contemplated that a serial number can be provided within sample area 36 to allow unique identification of the samples in sample area 36. Preferably, such a serial number will be formed on slide base 24 within sample area 36 by any suitable

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method, including lithographic techniques, etc. as will occur to those of skill in the art, although such a serial number can instead be provided on cover slip 32, on slide base 24 outside of sample area 36, or omitted altogether.

5        In use, a suitable amount of the sample material (not shown) is placed within sample area 36, with or without a mountant, and cover slip 32 is then placed over adhesive 28 and pressed, thereby adhering cover slip 32 to the slide base 24. It is contemplated that the sample material can be deposited into sample area 36 using conventional pipetting or micro pipetting techniques with disposable pipettes wherein the pipettes will be calibrated to deliver a precisely  
10 measured quantity of sample material to sample area 36 or via any other suitable method of providing a selected amount of sample material, as will occur to those of skill in the art.

Cover slip 32 is maintained in place by adhesive 28 which also encircles sample area 36 and which forms a barrier to maintain the sample material therein. Figure 2 shows a cross 15 section through a completed slide system 20. As will be apparent to those of skill in the art, adhesive 28 need not be ring-shaped, and square, rectangular or other shapes which may be preferred can be employed for adhesive 28, provided that sample area 36 is surrounded thereby. Also, it is not required that adhesive 28 and cover slip 32 have corresponding shapes, provided that when cover slip 32 engages adhesive 28, sample area 36 is substantially sealed. For 20 example, cover slip 32 can be rectangular, allowing easy alignment with slide base 24, while adhesive 28 is applied in a ring-shaped or square-shaped pattern.

As shown in Figure 2, the total thickness 40 of a slide produced with system 20 is established by the thickness of adhesive 28, the shape and dimensions of slide base 24 and 25 cover slip 32 and the force applied to adhere cover slip 32 to adhesive 28. A contemplated advantage of the present invention is that, by carefully controlling the thickness 40 of the slide produced with system 20, the possibility of accidental damage to the microscope's objective lens and/or slide 20 by the objective lens striking the slide can be reduced.

30       If a desired thickness cannot be obtained with the thickness of adhesive region 28, a spacer 44 can also be employed, as shown in Figure 3, to obtain the desired thickness. In

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Figure 3, and subsequent Figures, like components to those shown in Figures 1 and 2 are indicated with like reference numerals. As shown, when assembled a first adhesive layer 28a affixes spacer 44 to slide base 24 and a second adhesive layer 28b affixes cover slip 32 to spacer 44. As is the case with adhesive 28 in the example of Figures 1 and 2, the shape of 5 spacer 44 is not particularly limited provided that its upper and lower surfaces can form appropriate sealed bonds with slide base 24 and cover slip 32 and that sample area 36 has a desired area. It is contemplated that slide system 20 can be provided with spacer 44 already affixed to slide base 24 by adhesive layer 28a, requiring only the loading a sample material into 10 sample area 36 and the placement of cover slip 32 onto adhesive layer 28b. It is also contemplated that spacer 44 can be provided separately, in one or more thicknesses, for use when needed. In this latter case, adhesive layers 28a and 28b can be provided on spacer 44 or 15 on slide base 24 and/or cover slip 32, as desired.

It will be appreciated that, in addition to altering the total thickness 40 of a slide 15 produced with system 20, the thickness 48 of sample space 36 can also be altered. It is contemplated that the spacer can be manufactured of any material suitable for the particular microscopy investigation, including materials such as nylon, Delrin<sup>TM</sup> or glass.

In some circumstances, it may not be possible or commercially feasible to obtain a 20 desired small thickness of adhesive to accommodate the thickness of sample material desired for the microscopical application in question. For example, if a sample material thickness of ten microns is desired, it can be difficult to find an adhesive 28 which could be applied effectively in a thickness of ten microns. In such cases, material is removed from slide base 24, by etching, machining, grinding either chemically or mechanically, or by any other suitable 25 technique, in the area where adhesive 28 is to be applied. As a result, a thicker adhesive 28 can be applied into the removed area without compromising the final closed total thickness of the slide system. Also, the process of etching back or grinding back a circular-shaped area in the surface of slide base 24 to accommodate adhesive 28 can assist in the alignment and location of slide base 24 and cover slip 32.

30

The close control of total thickness 40 has another advantage when used with a slide

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holder of carefully controlled thickness. In that case, the z-axis location (i.e. - perpendicular to the plane of slide base 24) of sample space 36 and the upper surface of cover slip 32 is easily pre-determined and together define a reference position. The consistency of this reference position makes it possible to use a computer and stepper motors to rapidly move the objective lens to this known reference position with reduced risk of accidentally causing the microscope objective to strike the slide. This allows the microscopist to quickly and safely find the proper focus in the center of sample space 36 and to adjust the focus from this reference point higher or lower into the sample material as desired.

10        It is also contemplated that the refractive index and scattering properties of adhesive 28 can be selected to allow adhesive 28 to become a source of side lighting for dark field or ultramicroscopic applications. Further, adhesive 28 can contain phosphors or fluorescent compounds which can act as a side light source for illumination of the sample material . Additionally, phosphors and fluorescent compounds in adhesive 28 can be used to maintain  
15      quality control during manufacture, application, use and storage of slide system 20. For example, it is contemplated that in the case of an adhesive 28 containing fluorescent compounds, adhesive 28 can be tested under UV light to identify gaps or voids and/or to identify contamination of adhesive 28 during manufacture, or after cover slip 32 is sealed to the slide base 24 during actual use.

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While slide base 24 is shown having conventional microscope slide dimensions (i.e.: about 1 inch by 3 inch dimensions), slide base 24 can have any suitable shape and dimensions including round, square, or any shape that is suitable to the specific microscopy application. Further, slide base 24 and cover slip 32 can be made of any suitable material that is transparent at the wavelengths of interest. For example, for visible light use, optical plastics such as: polymethyl methacrylate (commonly sold under the trademarks Lucite and/or Plexiglass); polystyrene (commonly sold under the trademarks Styron and Lustrex; polycarbonate (commonly sold under the trademark Lexan); and styrene acrylonitrile (commonly sold under the trademarks Lustran and Tyril) can be employed. Examples of glasses which are suitable for visible light use include glasses such as BK7, manufactured by Schott Glass Technologies Inc., 400 York Avenue, Duryea PA, USA, or any other suitable glass as will occur to those of skill in the art.

In the case of infra red (IR) light use, materials such as silicon or AMTIR can be used or other suitable materials. In the case of ultraviolet (UV) light use, materials such as fused quartz, crystalline quartz, sapphire, spinel, zircon, diamond, calcium fluoride, lithium fluoride, or magnesium fluoride can be used or any other suitable materials. Cover slip 32 can be made of the same material as slide base 24, or can be of a different material. For example, the material of cover slip 32 can be chosen to match, complement, or correct for, the refractive index and dispersion of the sample material or mounting media or for the immersion fluid. Also, slide base 24 and/or cover slip 32 can also be fabricated from colored glass or optical plastic, or a colored layer applied thereto, to filter the wavelengths of the light illuminating sample area 36.

Slide base 24 or cover slip 32 can be coated with one or more layers of suitable transparent materials to correct for chromatic aberrations caused by the particular wavelength of light used to illuminate the sample material. Alternatively, slide base 24 or cover slip 32 can be formed of two or more layers of different materials for the same purpose.

For deep UV and X-ray microscopy use, thin films of metal, crystalline substances or any other suitable material can also be applied to slide base 24 and/or cover slip 32 and

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undesired material then removed, as is discussed below in detail with reference to Figure 15. Slide base 24 or cover slip 32 can also include a polarizing material on its lower surface, including polarizing films and coatings, in order to provide polarized light to the sample material. Further, diamond, zircon, polarizing or mirrored surfaces or the like can be provided 5 on slide base 24 or slide base 24 can be formed of multiple layers to allow conduction of light along the XY plane (i.e. - within the plane of slide base 24) of slide base 24 by total internal reflection.

Further, sample area 36 in slide base 24 can be specifically modified to provide a 10 number of different effects to the sample material. For example, it is contemplated that the surface of slide base 24 in the sample area 36 can be etched to diffuse the light from an the light source, thereby providing diffuse lighting of the sample material. The surface of slide base 24 in sample area 36 can also be tapered into the surface of slide base 24, by etching or machining or any other suitable technique including ion etching, laser etching, waterjet or solid particle 15 jet erosion, casting, pressing or grinding of slide base 24. The increased depth of the resulting sample space 36 permits the microscopist to focus through various depths of sample material and allows the microscopist to assess the size of the sample material and/or the sample material's components.

20 Referring now to Figures 4 and 4a, another slide system in accordance with the present invention is indicated generally at 100. As shown, system 100 includes a slide base 24, an adhesive 28, a cover slip 32 and a sample area 36, as before. In addition, slide system 100 further comprises an expansion volume 104, between adhesive 28 and sample area 36 and surrounding sample area 36. Expansion volume 104 is provided to accommodate sample 25 material and/or mountant which is expressed from sample area 36 as cover slip 32 is pressed to adhesive 28 to produce a slide. In addition to sample material and/or mountant which is expressed from sample area 36, expansion volume 104, air or other ambient gas can be compressed into volume 104 during the closing operation, inhibiting damage to the seal between slide base 24, adhesive 28 and cover slip 32 due to pressure build-up in sample area 30 36. In addition, fluids and gases in sample area 36 can expand due to heat caused by the microscope light source or by chemical reaction after the seal is completed during slide

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preparation.

Expansion volume 104 is also intended to receive such materials expressed from sample area 36 after production of a slide. By receiving expressed materials, expansion volume 104 reduces the possibility of the expanding sample material, especially hazardous sample material, from damaging the seal of adhesive 28 and/or escaping from the slide and contaminating the laboratory environment. Also, expansion volume 104 serves to isolate sample material from contacting adhesive 28, thereby inhibiting contamination of the sample material by adhesive 28. Expansion volume 104 can also include materials which it is desired to supply to sample area 36. For example, if the sample material in sample area 36 comprises a bacteria, a coating of bacterial nutrient can be deposited within expansion volume 104, leaving some of volume 104 empty. Another example is if a crystallization process is to be observed in sample area 36, a reservoir of solute to supply the process can be provided in volume 104. In such cases, it is contemplated that migration of sample material between sample area 36 and expansion volume 104 will be desirable, and intentionally induced, by thermal means (heating and cooling of the sample material), by mechanical means (compressing and releasing cover slip 32), or by any other suitable means as will occur to those of skill in the art.

Expansion volume 104 can be in the form of a groove, moat, well, or tapered area recessed into the upper surface of slide base 24 or any other suitable structure as will occur to those of skill in the art. In general, expansion volume 104 is outside sample area 36 and can be created by chemical or mechanical etching of slide base 24 or by any other suitable means such as ion etching, laser etching, waterjet or solid particle jet erosion, casting, pressing or grinding of the slide material. Alternatively, expansion volume 104 can be formed by creating a cavity in a center layer of a two layer slide base with appropriate connecting ports or conduits to the enclosed sample space.

While it is presently preferred that expansion volume 104 be provided in combination with adhesive 28, it is contemplated that in some circumstances adhesive 28 can be omitted. In such cases, surface tension of the sample material will provide sufficient adhesion of cover slip 32 to slide base 24 and sample area 36 will be surrounded by expansion volume 104.

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If desired, more than one expansion volume 104 can be included to provide redundancy and/or an indication of the historical state of sample material. For example, as shown in Figure 5, two expansion volumes 104a, 104b are provided in the form of concentric moats surrounding sample area 36, each volume 104a, 104b being spaced from the other and not in direct fluid communication therewith. In such a case, volume 104a can receive expressed sample and/or mountant materials when the slide is fabricated but material will only be expressed to volume 104b if volume 104a is substantially full, indicating improper production of the slide or subsequent mistreatment of the slide due to overheating, excessive closing force, etc. Additionally, volume 104b serves to further isolate sample material from contact with adhesive 28, further inhibiting contamination of the sample material by adhesive 28. If security of the sample material is essential, for example with particularly biohazardous materials, then the land 108 between volume 104a and volume 104b can also be provided with an adhesive to adhere to cover slip 32. In such a case, sample material must fill volume 104a, penetrate the seal provided by the adhesive on land 108, fill volume 104b and penetrate the seal of adhesive 28 before it can reach the outside environment. It is also contemplated that adhesive on land 108 will further inhibit subsequent tampering or access to sample material in sample area 36 once cover slip 32 has been adhered thereto.

In the example of Figure 5, expansion volume 104b is shallower than expansion volume 104a. It is contemplated that, in some circumstances, it will be desirable to intentionally express sample material into expansion volumes 104, expansion volumes 104 effectively acting as a portion of sample area 36. This will allow different thicknesses of sample material to be arranged with sample area 36 and can permit sample material comprising living cells to move within volumes 104 in different ways, depending on the relative depth of volumes 104. Additionally, expansion volumes 104 can have different depths to accommodate different thicknesses of sample material and this relative difference of depths allows stereoscopic, 3D or spectroscopic measurements of the sample material to be made at different sample thicknesses.

It is also contemplated that expansion volumes 104 are not limited to constant depths, and the depth can vary with the distance from sample area 36 or can vary with the angular

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location about sample area 36.

Referring now to Figure 6, another slide system in accordance with the present invention is indicated generally at 150. In addition to slide base 24, adhesive 28, cover slip 32 and expansion volume 104, slide system 150 further comprises an active element 154 which surrounds sample area 36 between adhesive 28 and expansion volume 104. It is contemplated that active element 154 can comprise a variety of substances or combinations of substances, such as antibiotic, antiseptic or radioactive substances suitable for neutralizing particular biohazardous sample materials. In use, if a sample material expands or otherwise migrates past expansion volume 104, the sample material will contact active element 154 and thereby be rendered neutralized or safe before contact with adhesive 28. In other uses, active element 154 can be combined with adhesive 28, requiring only a single application of adhesive/active material to slide base 24. In slide system 150, expansion volume 154 serves to isolate active element 154 from the sample material itself to prevent undesired influence of active element 154 on sample material in sample area 36. As an additional form of isolation, it is contemplated that active element 154 can be deposited onto slide base 24 between two concentric adhesive 28 layers (not shown). While it is presently preferred that active element 154 will be applied to slide base 24, it is contemplated that in some circumstances active element 154 and/or adhesive 28 can instead, or in addition, be applied to cover slip 32 if desired.

Figure 7 shows another slide system in accordance with the present invention is indicated generally at 200. System 200 is quite similar to system 100 of Figure 4 with the addition of one or more regions 204 of test materials to sample area 36. It will be appreciated that it is often important to test the effect of different substances on samples in a living state and this can be readily accomplished using slide system 200. Further, slide system 200 is believed to be particularly suited to testing surface phenomena, such as the effect of catalysts on chemical reactions etc., crystallization phenomena, etc. by introducing test materials to these processes and allowing observation thereof. As shown in Figure 7, a palette of six regions 204 of test materials have been deposited onto sample area 36 of slide base 24. Each region 204 can include a different substance whose interaction with a sample material is of interest. For

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example, each region 204 can comprise a different one of six different antibiotic materials which it is desired to expose a sample material to or can comprise different catalysts which it is desired to expose sample material reagents to. Further examples of likely testing materials include antiseptic compounds, antibiotics, antibacterial agents, biological agents, antibodies, 5 various test chemicals such as pH testing chemicals, stains, fluorochromes, or immunologically based fluorochromes, commonly known as immune antigen fluorochromes.

It is contemplated that an indication will be provided to the microscopist, either on slide base 24 or on accompanying materials, as to what material is in each region 204. It is 10 contemplated that slide system 200 will be available for various uses with standard sets of test materials. For example, slide system 200 can be provided to hospitals with a standardized set of antiseptic test materials and slide system 200 can be provided to analytic chemistry laboratories with a standardized set of reagent test materials. Slide system 200 can be conveniently pre-packaged at a manufacturing facility under sterile conditions. It is also 15 contemplated that in some circumstances, supplied test materials can comprise other materials, such as bacteria or viruses.

The use of slide system 200 will be apparent to those of skill in the art. For example, a set of six common antibiotics can be provided in areas 204 and a sample material, such as 20 living bacteria, is then deposited in sample area 36 and cover slip 32 is brought into engagement with adhesive 28 to seal the bacteria sample within sample space 36. A researcher can then observe the reaction of the bacteria to the six different test antibiotics through the microscope and observe which of six test areas 204 adversely affects the bacteria.

25 The synergistic effects between different chemicals and antibiotics can also be easily tested using slide system 200. For example, the H-pyloria bacterium has been found to be resistant to standard antibiotics such as tetracycline but the bacteria are susceptible to tetracycline when the tetracycline is used in the presence of a bismuth compound. Tests of this type can be rapidly conducted using slide system 200 wherein it is contemplated that an 30 antibiotic can be located in area 204 alongside, in conjunction with, or mixed with another chemical compound, chemical antiseptic or even a second antibiotic. The exact effects of the

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combination on the bacterial sample material can then be conveniently studied.

Test materials such as stains, fluorochromes, vital stains or vital fluorochromes can also be employed in test areas 204. In such a case, living sample material and suitable media are placed in sample area 36 and, over a period of a few minutes, material in test areas 204 will dissolve into the media and will be taken up selectively by the applicable portion of the living sample material. The results are then observed under the microscope. This permits the clinician to avoid the separate staining step that is normally associated with the use of vital stains or vital fluorochromes on a slide. This is particularly advantageous as vital stains and fluorochromes are dangerous to handle and providing such materials as test materials in slide system 200 mitigates the risk to the clinician.

It is contemplated that areas 204 of test material can be deposited on slide system 200 by known printing, thin film coating or vacuum chemical deposition methods and techniques, or any other suitable method as will occur to those skilled in the art. If it desired to provide a larger amount of test material, or to localize where in it applied, wells or depressions (not shown) can be recessed into the surface of slide base 24 and then filled with the desired testing materials.

While Figure 7 shows test regions 204 as six equal-sized circular areas, the present invention is not limited to six test areas, nor do test areas 204 have to be circular or equi-sized. Further, while it is presently preferred that test area 204 be applied to slide base 24, to allow observation of sample material above test areas 204, it is contemplated that in some circumstances it can be desired to apply test areas 204 to cover slip 32 or to both cover slip 32 and slide base 24. In fact, in a presently preferred aspect, test for synergistic effects between test materials can be accomplished by providing regions 204 on both slide base 24 and cover slip 32 in sample area 36. In such a case, a set of two or more test materials of interest are provided as a series of "stripes" across slide base 24 in sample area 36, each stripe being one test material. A second set of test materials, either the same or differing from those of the first set, are provided as a set of "stripes" across cover slip 32 in sample area 36. In use, sample material is placed in sample area 36 and cover slip 32 is adhered to adhesive 28 with its stripes

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orthogonal to the stripes on slide base 24 to form a "checkerboard" of test materials whose intersection points provide combinations of the test materials.

It will be appreciated that slide system 200 provides a rapid and convenient system and  
5 method of conducting assays of a sample material's characteristics and susceptibilities employing microscopic analysis. Further, this system can be used to test other infectious agents, both as a sample material and as a test material, including viruses, cells, fungi and parasites as well as bacteria. Also, phototoxic materials can be employed as test materials allowing simple and effective observation of phototoxic tests.

10

Figures 8, 9, 10 and 11 illustrate additional slide systems in accordance with the present invention. In these systems, electrical conductors and/or electrical components are provided in the slide system and can be used for testing the electrical properties of a sample material, including characteristics such as electrophoresis, electrolysis, electrochemical action, corrosion  
15 and dipolar behaviour. The electrical conductors and/or components can be formed by any suitable known manner, including semiconductor manufacturing techniques.

In Figure 8, a slide system in accordance with the present invention is indicated generally at 250. In system 250 electrical conductors 254, shown as conductive traces, are  
20 formed within sample area 36 using suitable techniques, such as semiconductor manufacturing or printed circuit board fabrication techniques. Conductors 254 extend out of sample area 36 and are connected to terminals 258a, 258b located on the surface of slide base 24 outside of sample area 36. Preferably, conductive circles 262 are connected to the ends of the conductors 254 to prevent field concentrations at the ends thereof. It should be noted that adhesive 28  
25 covers conductors 254 such that sample area 36 is still sealed when cover slip 32 is adhered to adhesive 28.

Slide system 250 can be employed in a similar manner to the slide systems described above but with the additional capability that conductors 254 can be used for electrical testing  
30 of living sample material or mobile sample material within sample space 36. For example, an electrical potential can be applied between conductors 254, via contacts 258a and 258b, to

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cause an electrophoresis reaction to occur in sample space 36 between the conductors. The electrophoresis reactions can thereby be observed through the microscope, under suitable lighting conditions, such as under reflected or transmitted light. Thus, a researcher can examine precisely the electrochemical or bioelectrical effects on living systems or on mobile material samples. Further, slide system 250 provides new opportunities in the area of electro-chemistry for studying the precise reactions that are involved in electrochemical deposition or decomposition and interactions. While it is presently preferred that conductors 254 and contacts 258 be formed on slide base 24, the present invention is not so limited and it is contemplated that these features can be provided on cover slip 32, if desired. In such a case, it is further contemplated that conductors 254 can be provided on each of cover slip 32 and slide base 24 and thus an electric potential can be applied vertically through sample area 36, if desired. It is also contemplated that conductors 254 can be traces of magnetic material, which need not necessarily be electrically conductive, to allow studies of the effects of magnetic fields on sample material in sample area 36.

15

In Figure 9, a slide system 300 which is similar to slide system 250 is shown. Slide system 300 includes the features of slide system 250 and further comprises a coating 304 which has been applied to sample area 36. Coating 304 can be included to provide an acceptably smooth surface which can be more easily sealed with adhesive 28 and/or can comprise dielectric, insulating or semiconductor materials or can comprise selectively reflective materials which can act as optical filters. Further, coating 304 can be biologically or chemically inert to prevent direct contact between conductors 254 and sample material in sample area 36 this can, for example, prevent unwanted chemical reactions between a sample material and conductors 254.

25

Another slide system in accordance with the present invention is indicated generally at 350 in Figure 10. In system 350, a conductor 354 extends through sample area 36, between contacts 358a and 358b and a conductor 362 extends through sample area 36 between contacts 366a and 366b. Electric potentials can be applied between contacts 358a, 358b and/or 366a, 366b to create electro-magnetic, radio frequency or electrical fields in sample space 36 to observe the reaction of a sample material to an applied field. As will be apparent to those of

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skill in the art, for observation of only electro-magnetic effects, a single conductor can be employed, provided that each end of the conductor extends out of sample area 36.

Conductors 354 and 362 can be deposited in helical, grid or linear patterns using known semiconductor techniques, printed circuit board fabrication techniques or any other suitable techniques, as will occur to those of skill in the art. It is also contemplated that conductors 354 and 362 can also be coated with thin layers of optically transparent materials (i.e. - materials which are transparent to the light wavelengths (visible, IR, UV, X-ray etc.) used to observe the sample material), such as insulating, dielectric, or semiconducting materials. The coating can be selected to permit different kinds of testing to be carried out on samples and/or to prevent contamination of the sample material by conductors 354 and 362. Further, while referred to herein as conductors, conductors 354 and 362 can also be formed of resistive or semi-conductor materials for other uses. For example, if formed of resistive material, conductors 354 and/or 362 can be used to apply heat to sample area 36. If formed of semiconducting materials, changes in the resistance of conductors 358 and/or 362 can be measured to identify various conditions within sample area 36. It is further contemplated that sample area 36 can be provided with a coating (not shown), similar to coating 304 of slide system 300, which can comprise dielectric, insulating, semiconductor or selectively reflective materials as desired.

Another slide system in accordance with the present invention is indicated generally at 375 in Figure 11. In slide system 375, one or more various small electric circuit components 378 can also be placed in sample area 36 using a variety of known techniques, such as surface mount technology (SMT) or semiconductor components or the like can be fabricated in situ, using known techniques. For example, a small thermocouple could be mounted within the sample area to measure the temperature within sample area 36. The components can be coated with adhesives, optical compounds and/or inert materials to prevent them from contaminating the sample material in sample area 36 or interfering with optical observations therein.

In the example of Figure 11, component 378 has been mounted in a well 379 formed in the underside of slide base 24 and which is maintained in place by an electrically conductive epoxy 380 which electrically connects component 378 to contacts 382 and 384 via conductors

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386 and 388.

Other components 378 which can be provided include light sources, such as light emitting diodes (LED) or surface mount semiconductor laser devices which can be mounted 5 on, or embedded in slide base 24 and connected to suitable electrical supplies via conductors. For example, it is contemplated that a light source can be mounted in the center of, and flush with, the surface of sample area 36. The light source can be selected appropriately to yield an extremely bright and compact light source right at the surface of slide base 24, thereby providing an efficient means to illuminate sample material therein. In use, the researcher will 10 place the sample material directly over the light source in sample area 36, or will place enough sample material in sample area 36 to ensure that some will be directly over the light source, and will enclose the sample material with cover slip 32 which is adhered to adhesive 28. The microscope's objective lens is then focused on the bundle of light rays radiating from the light 15 source which illuminate the sample material. Further, it is contemplated that the LED spread function can be matched to the numerical aperture of the microscope objective in order to optimize the proportion of the light captured by the objective, thereby producing a brighter final image.

As will be apparent, this method of illumination can be used to effectively illuminate 20 sample material with monochromatic light by selection of a light source which emits light in a specific range of wavelengths. For example, LED's of gallium nitride on silicone carbide produce light with a center wavelength of 430 nanometers. It is contemplated that microscopy work can be performed using these LED light sources or semiconductor laser or other light 25 sources to produce very high resolution images that are relatively free from chromatic aberrations.

Another component 378 which can be employed is a measuring photodiode. Such a photodiode can be integrally mounted in sample area 36 to monitor the brightness of the illuminating light and to provide a feed back signal to a control system which stabilizes the 30 output of the illuminating lamp. In this case, the photodiode is mounted very close to sample area 36, or within sample area 36 and, as the sample material is illuminated either by

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conventional lighting or by LED or laser light source methods described above, the photodiode produces an electrical signal which is indicative of the illuminating light energy. This electrical signal can then feed to a control which can control the power supply to the light source, operate an iris, neutral density filter or LCD Shutter, etc.

5

As will be apparent, different photodiodes can be used to monitor different wavelengths of light. For example, a silicon carbide or gallium nitride photodiode can be used to monitor the intensity of an ultraviolet (UV) light source and a silicon photodiode can be used to monitor the intensity of visible light source and an indium gallium arsenide photo diode can be used 10 to monitor the intensity of an infra red light source and these photodiodes can be included either individually, or in combination, as desired.

10

Yet another component 378 which can be employed are piezoelectric components, such 15 as piezoelectric transducers and their related conductors. These piezoelectric transducers can be used to create acoustic fields in sample area 36 to, for example, study the effect of acoustic waves on the sample. The same transducers can be used to detect acoustical emissions emanating from the sample material during examination. Further, in the micro perfusion embodiment of a slide system in accordance with the present invention which is described below, such transducers can be used to provide information on flow rates, particulate loadings 20 and fluid characteristics using known ultrasonic Doppler techniques. As mentioned before, piezoelectric transducers can be mounted adjacent sample area 36 via any suitable technique, such as SMT, or can be fabricated in situ using known techniques.

20

Another slide system in accordance with the present invention is indicated generally at 25 400 in Figure 12. In slide system 400, slide base 402 is fabricated from three layers 404, 406 and 408 of suitable material, such as any of the optical plastic and/or glasses mentioned above which are bonded together via any suitable technique as will occur to those of skill in the art. A pair of micro perfusion ports 410 and 412 are provided in sample area 36 and are formed, prior to bonding of layers 404, 406 and 408 together by drilling ports 410 and 412 and 30 connection ports 414 and 416 in layer 404. Next, grooves or slots 418 and 420 are formed in layer 406 such that, when slide base 402 is assembled from layers 404, 406 and 408, connection

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port 416 and microperfusion port 412 are in fluid connection via slot 420 and microperfusion port 410 and connection port 414 are in fluid connection via slot 418. As will be apparent to those of skill in the art, such ports can also be provided vertically through slide base 402 or cover slip 32.

5

Microperfusion ports 410 and 412 permit gases, nutrients, fluids, renewed sample material, etc. to be delivered to and/or removed from sample area 36. For example, nutrients or chemicals can be delivered to sample area 36 to permit microscopic examination of the growth of an organic, inorganic or living system. In the case of an inorganic system, it is 10 possible to watch the formation of crystals in real time. Further, it is possible to observe a cellular, parasitic, bacterial or viral culture and supply it with nutrients it requires over a relatively long period of time. Further, fluid flow through port 412 and 416 can also be used to cool or heat the sample material in sample area 36.

15

Further, micro perfusion ports can be used to supply different substances to sample area 36 to observe their effect on the sample material. For example, in the area of cellular research, these ports can be used to supply different nutrients or different chemical substances to cells in sample area 36. In this way it is possible to use the slide system of the present invention to microscopically observe the effect and/or damage caused by chemicals or biological hazards 20 to living cells or chemical processes and compounds.

25

Those of skill in the art will realize that ports 410 and 412 can be fabricated in a variety of manners, including by etching the surface of one or both layers of a double layer slide base. In this case, two corresponding mirror-image grooves are etched on the surface of one face of each layer base and the ports drilled or etched through the top layer, connecting with the groove on the top layer. The layers are then bonded together to create the microperfusion port and the connector port. In addition, it is also contemplated that the ports can be of several different configurations, shapes, and numbers depending on their desired use.

30

Another slide system in accordance with the present invention is indicated generally at 425 in Figure 13. In slide system 425, sample area 36 includes a moat 426 to which two

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microperfusion ports 428 and 430 are connected. As shown in the Figure, ports 428 and 430 extend downward through slide base 24 and respectively engage inlet 432 and outlet 434 conduits on a microscope stage 436 which includes a central passage 435 to allow light to pass through sample area 36. The connection between port 428 and inlet conduit 432 is sealed by 5 an O-ring 436 and the connection between port 430 and outlet conduit 434 is sealed by a similar O-ring 438. A slide assembled with slide system 425 is maintained in contact with stage 436, thus maintaining the seal between port 428 and conduit 432 and between port 430 and conduit 434 by any suitable means, as will occur to those of skill in the art.

10       Slide system 425 allows various materials to be supplied and removed from sample area 36, through moat 426. One perceived advantage of system 425 of that of system 400 is that it only requires a single layer slide base 24, reducing the cost and manufacturing time for system 425, although requiring a suitable stage 436 to be available.

15       Another slide system in accordance with the present invention is indicated generally at 450 in Figure 14. In slide system 450, moat 452 is connected to microperfusion ports 454 and 456 whose connection points 458 and 460 are located on the top surface of slide base 462. As shown, slide base 458 comprises three layers 464, 466 and 468. Layer 464 is a continuous layer , while layers 466 and 468 have various features relating to microperfusion ports 454 and 456 20 formed therein, as shown in the Figure. As also shown in the Figure, a clamping device 470 operates in conjunction with a conventional stage 472 to maintain an assembled slide from slide system 450 in place. Clamping device 470 includes conduits 474 and 476 to connect to connection points 458 and 460 respectively and O-rings 478 and 480 seal the connections therebetween. Stage 472 includes an aperture 482 to allow light to pass through sample area 25 36.

Another slide system in accordance with the present invention is indicated generally at 486 in Figure 15. Slide system 486 is intended for deep UV and X-ray microscopy use wherein optical glass or plastic is opaque or otherwise not sufficiently transparent to the desired 30 wavelengths. In slide system 486, cover slip 488 has a thin layer 490 of a metallic, crystalline or any other suitable material which is transparent to the desired wavelength applied to its

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underside. Next, the material of cover slip 488 above layer 490 in sample area 36 is removed by any suitable means, such as etching, machining etc. until only layer 490 remains. Thus, an aperture 492 is formed to expose the upper side of layer 490, the lower side of layer 490 forming part of sample area 36. The outer ring of material from cover slip 488 is left to 5 physically strengthen cover slip 488 to permit handling thereof.

Slide base 494 has a thin layer 496 of a metallic film or a crystalline film which is transparent to the desired wavelength, or reflective thereto, applied to its top side in sample area 36. The material of slide base 494, under layer 496, in sample area 36 is removed by any 10 suitable means, such as etching or machining, to form an aperture 498 to expose the lower side of layer 496, the upper side of layer 496 forming part of sample area 36. Thus, light of an appropriate wavelength need only pass through layer 490 and/or layer 496 to illuminate sample material in sample area 36.

15 The present invention is not limited to the particular combinations of features described above, as will be apparent to those of skill in the art. For example, active element 154 of slide system 150 can also be provided in any of the other slide systems. Other desirable combinations of features will be apparent to those of skill in the art and do not depart from the scope of the present invention.

20 The slide systems of the present invention can be provided in sterile and substantially contaminate-free form in a sealed paper or plastic film protective package. In Figure 16, such a package is indicated generally at 500. In this example, package 500 contains slide system 100, described above.

25 Package 500 includes a base sheet 504 to which slide base 24 and cover slip 32 are affixed by a low tack releasable adhesive 508. Adhesive 508 is selected to allow base sheet 504 to be easily separated from slide base 24 and cover slip 32 without leaving an optical residue. As shown, base sheet 504 includes a score line 512 from which the center of sample 30 area 36 and the center of cover slip 32 are equi-spaced. Thus, when base sheet 504 is folded at score line 512, cover slip 32 is brought into contact with adhesive 28 in the desired, centered,

-25-

position.

Package 500 further includes a top sheet 516 which is sealed to base sheet 504 via a releasable adhesive 520 coating located about the border of the base sheet 504. Preferably, 5 adhesive 520 is selected to ensure that package 500 cannot be re-closed without clear evidence of its having been opened, i.e. - it is a tamper-resistant package. Top sheet 516 includes a release coating 524 on its bottom surface so that it will easily peel away from adhesive 28 on slide base 24 without reducing the ability of adhesive 28 to adhere to cover slip 32 when the slide is used. As will be apparent to those of skill in the art, slide system 100 and package 500 10 are sterile and can be manufactured under clean room conditions to reduce the chance that contaminants reach sample area 36.

In order to use system 100 supplied in package 500, the top sheet 516 is peeled off bottom sheet 504 to reveal slide base 24 and cover slip 32. A selected amount of sample 15 material and/or mountant is applied to sample area 36 and the slide is closed by folding base sheet 504 at score 512, bringing cover slip 32 into contact with adhesive 28. Once cover slip 32 has contacted the adhesive 28, the user applies pressure through folded bottom sheet 504 to compress the sandwich of slide base 24 and cover slip 32 and to thereby set adhesive 28 sealing sample area 36. Bottom sheet 504, which is now on the top and bottom of the sandwich, is 20 peeled away from the finished slide.

Figure 17, shows another example of a slide system package 600, which is preferred for use in an automated slide preparation systems. In Figure 17, like components to those shown in Figure 16 are indicated with like reference numerals. As shown, package 600 includes a base 25 sheet 604 with a series of regularly spaced locating perforations 608 adjacent opposite edges 612. It is contemplated that a continuous series of bottom sheets 604 will be provided, wherein edge 616a is connected to edge 616b of the next base sheet 604. A perforated tear line (not shown) can be provided between adjacent packages 600 if desired. During an automated slide preparation process, the supply of bottom sheets 604 is moved by engagement of sprockets 30 with locating perforations 608. A top sheet, not shown, similar to top sheet 516 in Figure 16, covers at least slide base 24 and cover slip 32 to maintain sterility and cleanliness of the

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contents of package 600 and is adhered thereto via a suitable adhesive, as was the case with package 500.

An example of an automated slide preparation process will now be described. A supply  
5 of packages 600, with slide bases 24 and cover slips 32 therein, is transported along an assembly line by suitable sprockets which engage locating perforations 608. The top sheet is separated from base sheet 604 by any suitable means, such as a roller, to expose slide base 24 and cover slip 32. Bottom sheet 604 is transported to the area of a dispenser of sample material which is arranged to deliver a measured amount of the sample material to sample area 36, as  
10 determined by the relative positioning of perforations 608. A folding machine, well known in the paper handing industry, folds bottom sheet 604 at score line 512 to bring cover slip 32 into engagement with adhesive 28 to provide a slide with a preliminary seal. Bottom sheet 604 is then removed from the slide which is then transported to a final closing press which applies a predetermined pressure to firmly close cover slip 32 to slide base 24. The thickness of the  
15 finished slide is then checked and, when confirmed, the finished slide is placed in a delivery tray. The finished slide can also be labelled with suitable identification data to identify the sample material enclosed in the slide.

The packaging of a slide system in accordance with the present invention is presently  
20 believed to be particularly advantageous. Packages 500 and 600 serve both as a method of storing unused slide systems in a sterile and clean manner and a method of producing a finished slide wherein the microscopist need not directly touch the slide base or cover slip prior to sealing the sample area. The bottom sheets 504 and 604 also help to inhibit the transfer of any hazardous material from the slide to the microscopist's hands.  
25

As stated earlier, it is understood that no optical residue (i.e. - residue which will interfere with the resolving of a desired image within sample area 36) from adhesive 508 on bottom sheets 504 and 604 will remain on the bottom of slide base 24, especially within the area of sample area 36. Accordingly, adhesive 508 should be carefully selected to ensure that  
30 it will not leave an undesired optical residue. Alternatively, the adhesive may be located on bottom sheets 504 and 604 so that it only contacts a peripheral area of slide base 24 and cover

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slip 32 to avoid possible contamination of the sample area 36 of slide base 24 or cover slip 32.

It is contemplated that the sterility of package 500 or 600 can be accomplished either by radioactive sterilization or by heat sterilization and packaging is preferably performed in a  
5 clean room environment. A benefit to radioactive sterilization is it can be performed after the slide system has been packaged.

It is also contemplated that, as described above, in some circumstances a slide system in accordance with the present invention will be provided without adhesive 28 wherein an  
10 expansion volume surrounds sample area 36. In such a case, use of package 500 or 600 for such a system will involve a user removing cover slip 32 from bottom sheet 504 or 604 with an appropriate tool to maintain sterility and/or to prevent contamination, and then place cover slip 32 onto the sample material in sample area 36 in a conventional manner. Even in this case, it is believed that packages 500 and/or 600 provide significant advantages over prior methods  
15 of supplying slides and cover slips for microscopy.

As will be apparent to those of skill in the art, the present invention provides many advantages and improvements over conventional slides for microscopy. Embodiments of the present invention can be used for live or fixed specimens of biological, organic or inorganic  
20 matter. The present invention is believed to be particularly suited for use with living sample materials, including bacteria, cells, vital fluids such as blood and lymphatic fluid, parasites, or viruses or combinations thereof. The present invention can also be used to study the interaction of living material with chemicals; biological products; electric, magnetic, photo, or acoustic fields; ionizing or other radiations; and other living material.

25

In addition, the present invention provides a microscope slide system and a method of producing high quality slides which are free of contamination and artifacts and which help maintain sterile conditions during the slide preparation process. Embodiments of the present invention provide a range of features which aid the researcher. In addition, it will now be  
30 appreciated that the present invention includes embodiments having features which provide safeguards by means of adhesives, expansion zones, and neutralization zones to reduce the risk

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of hazardous materials escaping from the sample space and reaching the working environment.

The above-described embodiments of the invention are intended to be examples of the present invention and alterations and modifications may be effected thereto, by those of skill 5 in the art, without departing from the scope of the invention which is defined solely by the claims appended hereto.

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I claim:

1. A slide system for microscopy comprising:
  - a slide base;
  - a cover slip; and

5 an adhesive layer on a surface of at least one of said slide base and said cover slip, said adhesive layer surrounding a portion of said surface such that when said slide base and cover slip are engaged with said adhesive layer to form an assembled slide, said adhesive layer and said cover slip enclose and define a sealed sample area.

10 2. A slide system according to claim 1 wherein said adhesive layer is located on said slide base.

15 3. A slide system according to claim 1 further including at least one expansion volume on at least one of said slide base and cover slip, said expansion volume being adjacent said adhesive layer within said sealed sample area.

20 4. A slide system according to claim 3 including two expansion volumes on at least one of said slide base and cover slip within said sealed sample area, one of said two expansion volumes being adjacent said adhesive layer and the second of said two expansion volumes being spaced from said first expansion volume by a land.

25 5. A slide system according to claim 1 further including at least one expansion volume on at least one of said slide base and said cover slip and an active element, said expansion volume surrounding said portion of said surface and said active element surrounding said expansion volume and being between said adhesive layer and said expansion volume within said sealed sample area.

30 6. A slide system according to claim 5 including two expansion volumes surrounding said sealed sample area said active element being between the outermost of said two expansion volumes and said adhesive.

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7. A slide system according to claim 5 wherein said active element is an antibiotic.

8. A slide system according to claim 5 wherein said active element is an antiseptic.

5 9. A slide system according to claim 1 further comprising at least one test material applied  
to at least one of said slide base and cover slip such that said test material is within said sealed  
sample area.

10. 10. A slide system according to claim 9 including at least two test materials, at least one of  
said test materials being applied to said slide base and at least another of said at least two test  
materials being applied to said cover slip such that, when said sealed sample area is formed,  
said test materials on said cover slip are proximal said test materials on said slide base.

11. 11. A slide system according to claim 1 further comprising a sealed package, said slide base  
and said cover slip being clean and sterile within said wrapper.

12. 12. A slide system according to claim 11 wherein said package includes a first surface  
within said package to which said slide base and said cover slip are releasably adhered.

20 13. 13. A slide system according to claim 12 wherein said slide base and said cover slip are  
adhered to said first surface in a spaced, juxtaposed arrangement such that, by folding said first  
surface at a point between said slide base and said cover slip said slide base and cover slip are  
brought into engagement to form said sealed sample area.

25 14. 14. A slide system according to claim 13 wherein said point between said slide base and  
said cover slip comprises a fold line.

15. 15. A slide system according to claim 13 wherein said package further includes means to  
receive a mechanical drive to move said package.

30

16. 16. A slide system according to claim 1 wherein each of said slide base, said cover slip and

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said adhesive have a preselected thickness such that, when said assembled slide is formed it has a preselected overall thickness.

17. A slide system according to claim 16 wherein said preselected thickness of each of said  
5 slide base, said cover slip and said adhesive are the same.

18. A slide system according to claim 16 further comprising a recess in said surface, said adhesive being placed in said recess.

10 19. A slide system according to claim 16 further comprising a spacer having first and second sides and a preselected thickness and wherein said spacer surrounds said portion of said surface and said first side engages said adhesive on said surface and said second side includes an adhesive to engage the other of said slide base and cover slip, said sealed sample area being formed by said slide base, spacer, each of said adhesives and said cover slip.

15

20. A slide system according to claim 1 wherein said adhesive is releasable.

21. A slide system according to claim 1 further including at least one conduit extending between said sealed sample area and a surface of said slide base.

20

22. A slide system according to claim 21 including at least two conduits extending between said sealed sample area and a surface of said slide base.

25

23. A slide system according to claim 21 wherein said surface is the surface of said slide base which forms part of said sealed sample chamber.

24. A slide system according to claim 21 wherein said surface is opposite the surface of said slide base which forms part of said sealed sample chamber.

30

25. A slide system according to claim 1 wherein at least one of said slide base and said cover slip includes at least two electrical conductors extending between said sealed sample area

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and a surface on said at least one of said slide base and said cover slip outside said sealed sample area.

26. A slide system according to claim 25 wherein one of said at least two conductors is on said slide base and the other of said at least conductors is on said cover slip.  
5
27. A slide system according to claim 25 further comprising an insulating coating on said conductors within said sealed sample.
- 10 28. A slide system according to claim 1 wherein at least one of said slide base and said cover slip includes an electrical conductor in said sealed sample area and having at least two portions of said conductor extending outside of said sealed sample area.
- 15 29. A slide system according to claim 25 further comprising a dielectric coating on said conductors within said sealed sample.
30. A slide system according to claim 25 further comprising a biologically inert coating on said conductors within said sealed sample.
- 20 31. A slide system according to claim 25 further comprising a chemically inert coating on said conductors within said sealed sample.
32. A slide system according to claim 1 further comprising at least one piezoelectric transducer in acoustic contact with said sealed sample area.  
25
33. A slide system according to claim 1 further comprising a light source on said slide base, said light source illuminating said sealed sample area.
34. A slide system according to claim 33 wherein said light source is a light emitting diode.  
30
35. A slide system according to claim 33 wherein said light source is a semiconductor laser.

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36. A slide system according to claim 1 further comprising a light sensor on said slide base, said light sensor producing a output representative of an illumination level in said sealed sample area.

5 37. A slide system according to claim 36 wherein said light sensor is a photodiode.

38. A slide system according to claim 9 wherein said test material comprises a stain.

39. A slide system according to claim 38 wherein said stain is biohazardous.

10 40. A method of preparing a slide for microscopy, comprising the steps of:  
(i) placing a sample material on a surface of one of a slide base and a cover slip within a sample area surrounded by an adhesive material on said surface;  
(ii) locating the other of said cover slip and said slide base over said sample area to engage said adhesive material; and  
(iii) pressing said slide cover and said slide base to form a sealed sample area.

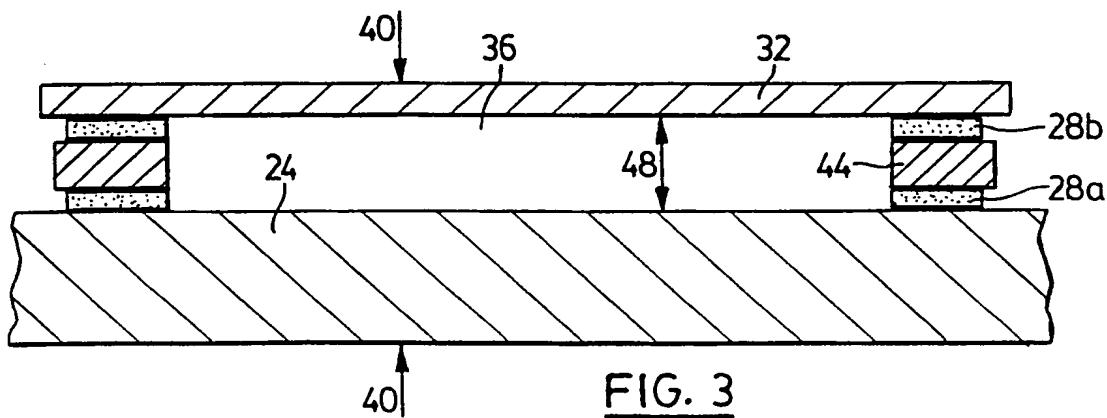
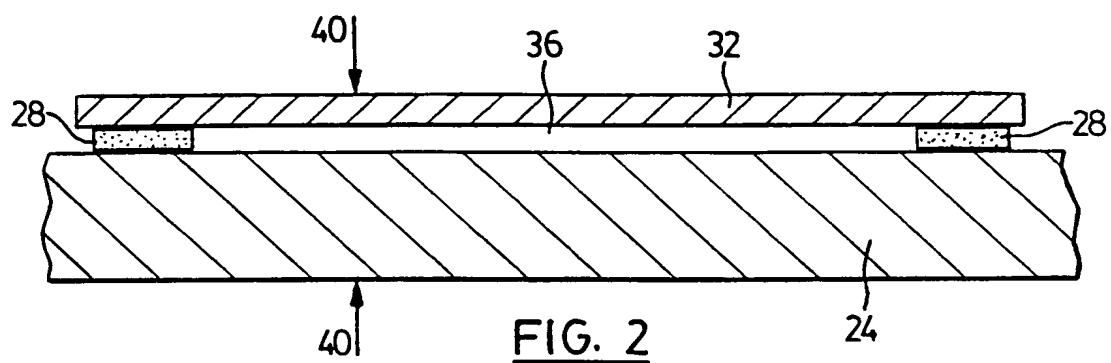
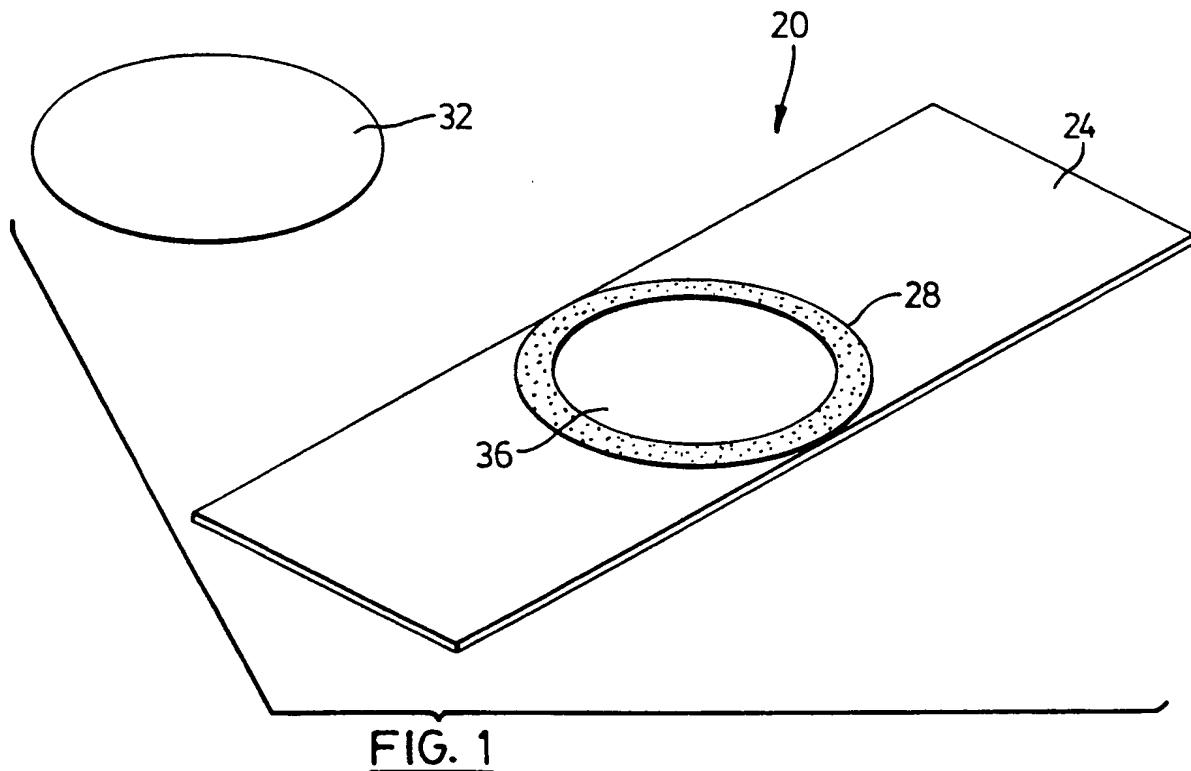
15 41. The method of claim 40 wherein step (iii) is performed with a preselected force.

20 42. The method of claim 40 where in step (iii) said pressing is performed until a pre-selected thickness of said prepared slide is obtained.

43. A slide system for microscopy comprising:  
a cover slip; and  
25 a slide base including a surface having an expansion volume formed there, said expansion volume surrounding a sample area on said surface and receiving sample material from said sample area when said cover slip is placed on said sample area.

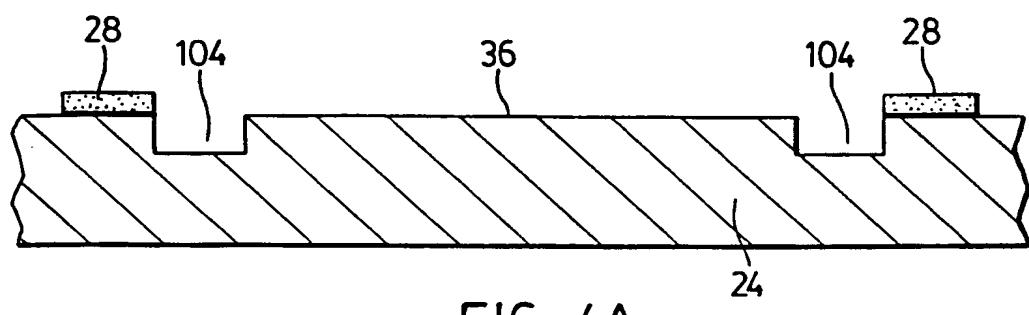
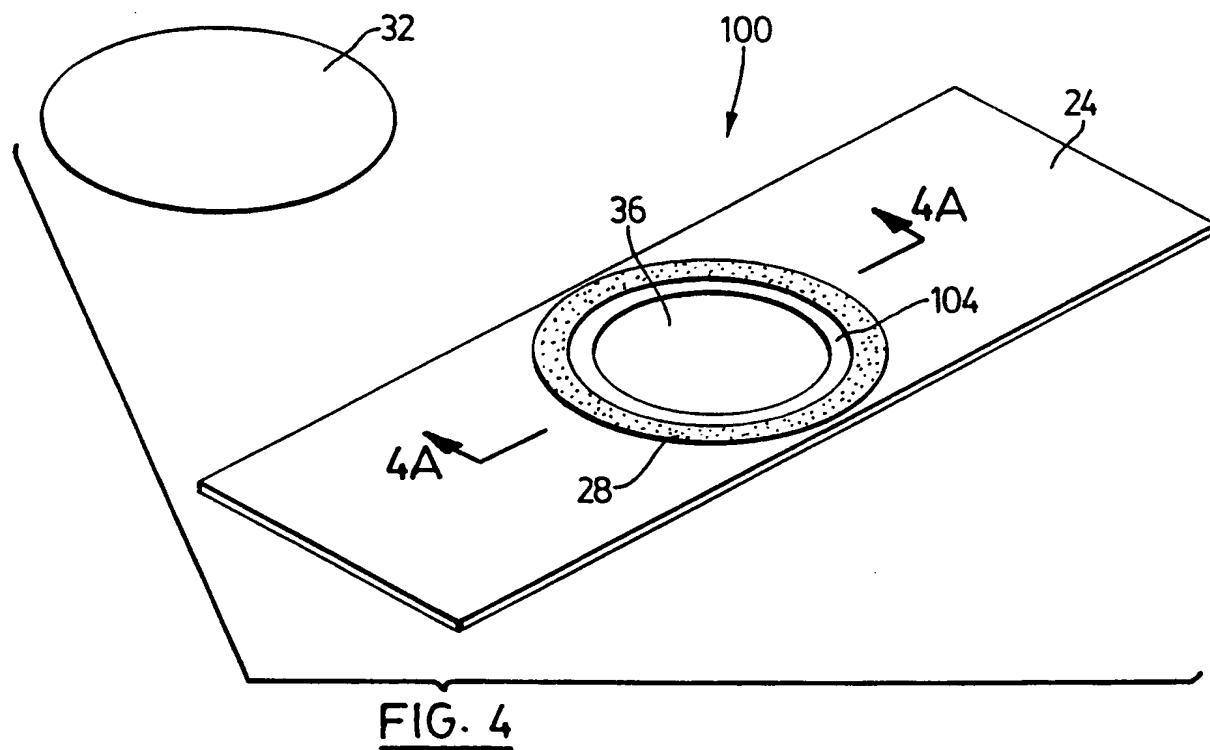
44. A slide system according to claim 43 further comprising a sealed package, said slide  
30 base and said cover slip being clean and sterile within said wrapper.

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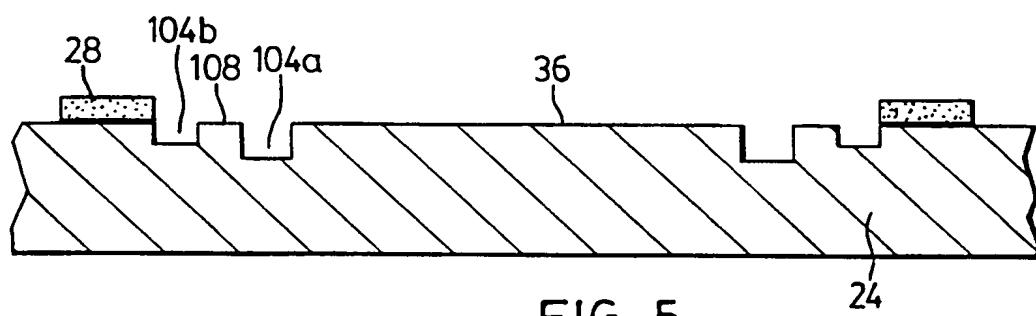
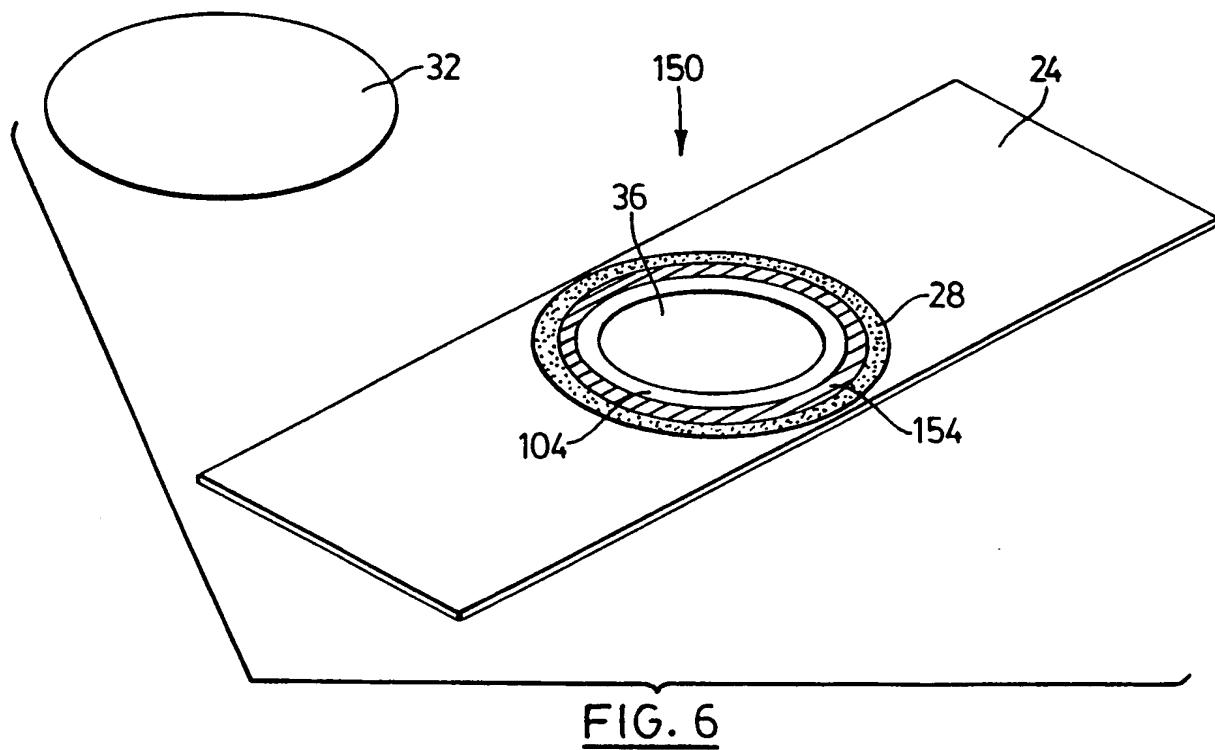


SUBSTITUTE SHEET ( rule 26 )

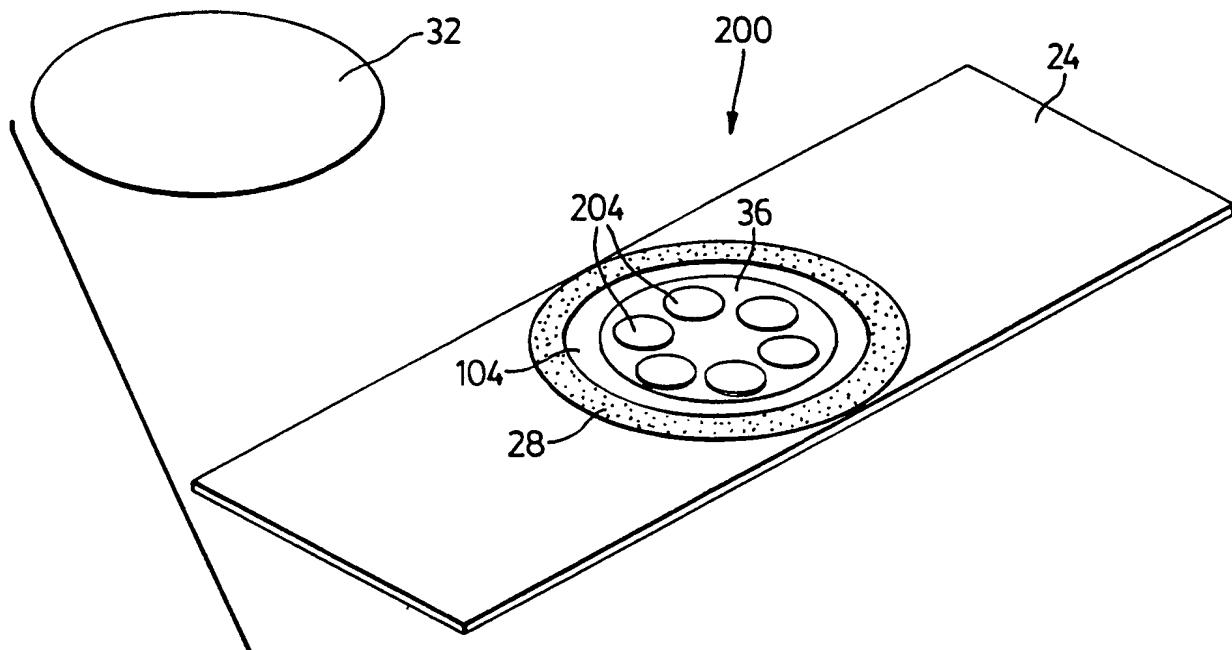
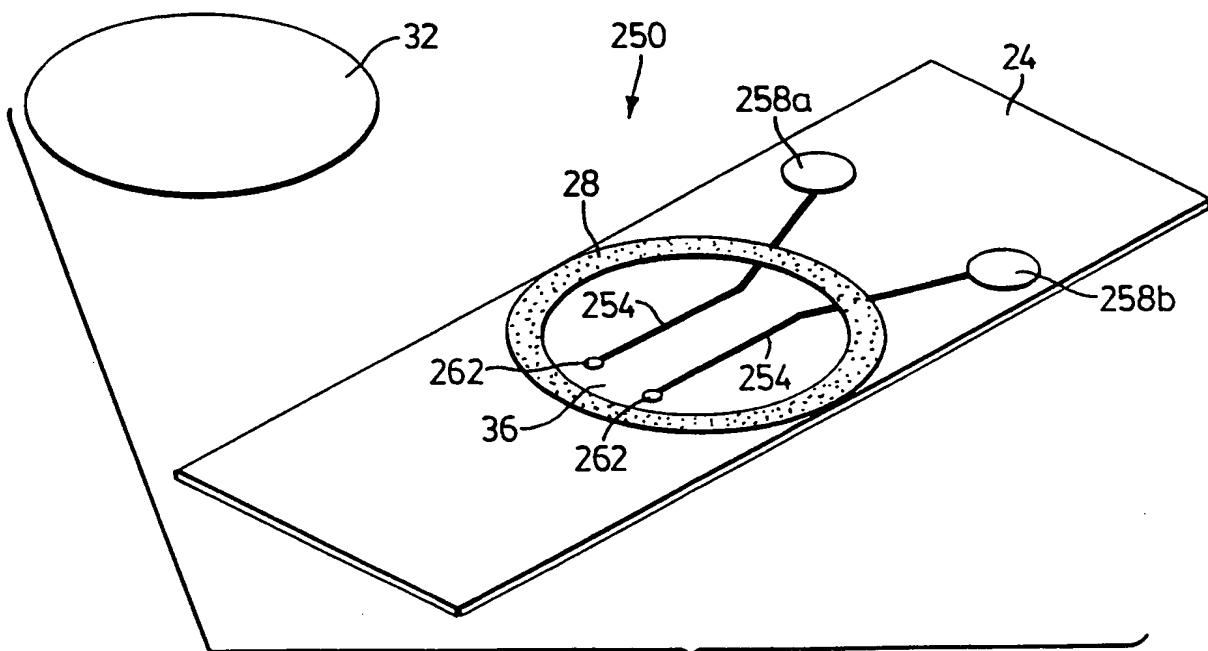
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FIG. 5FIG. 6

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FIG. 7FIG. 8

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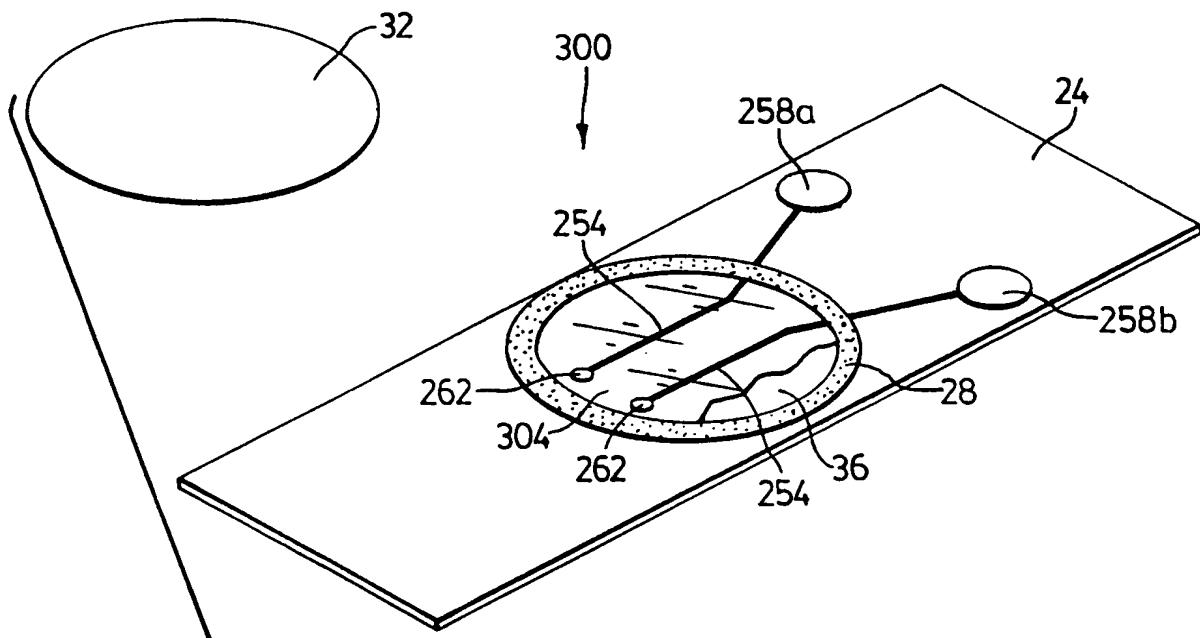


FIG. 9

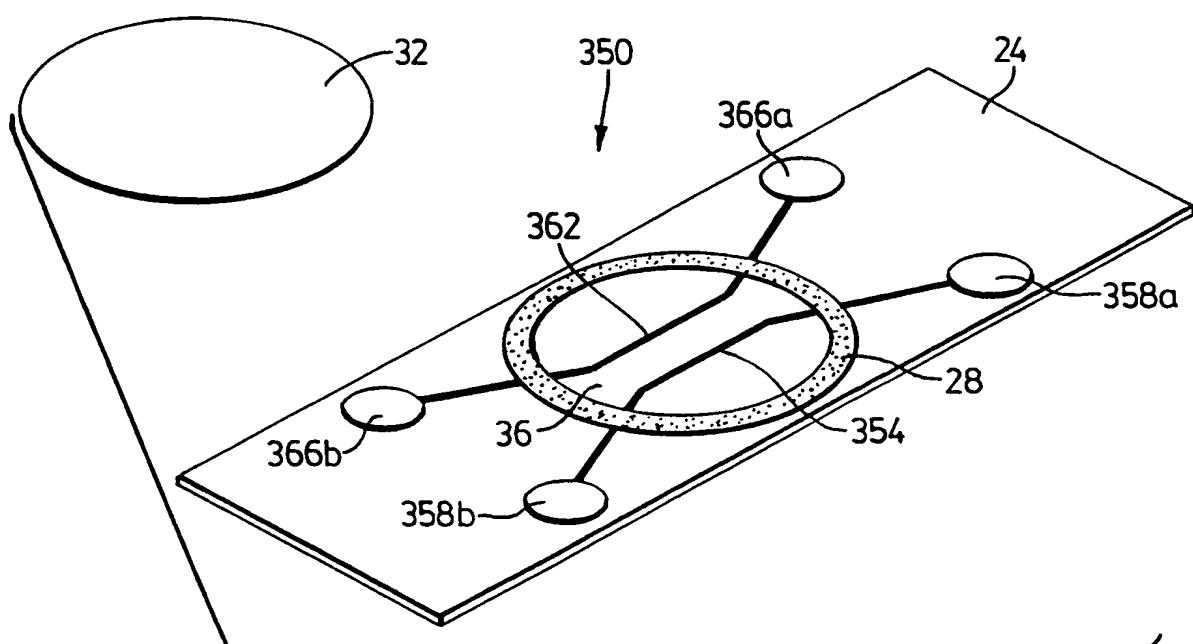
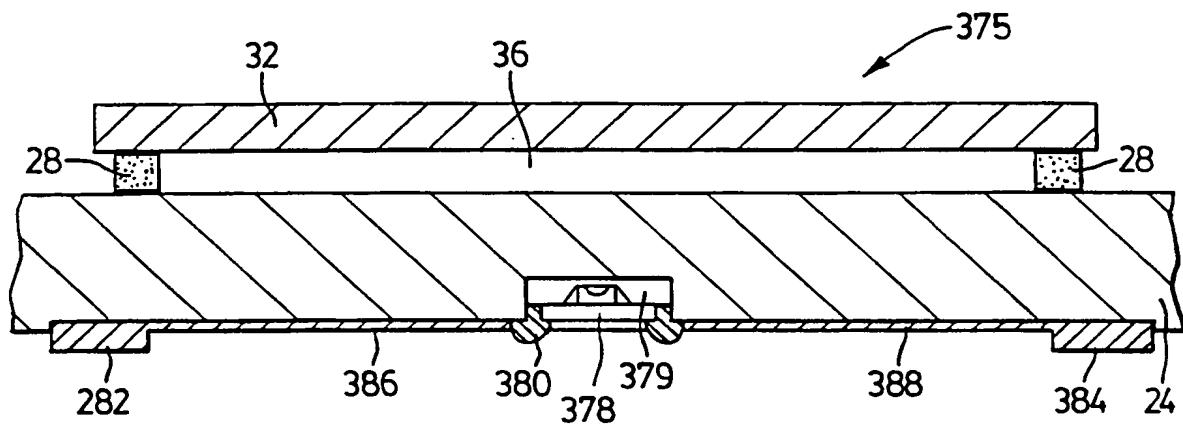
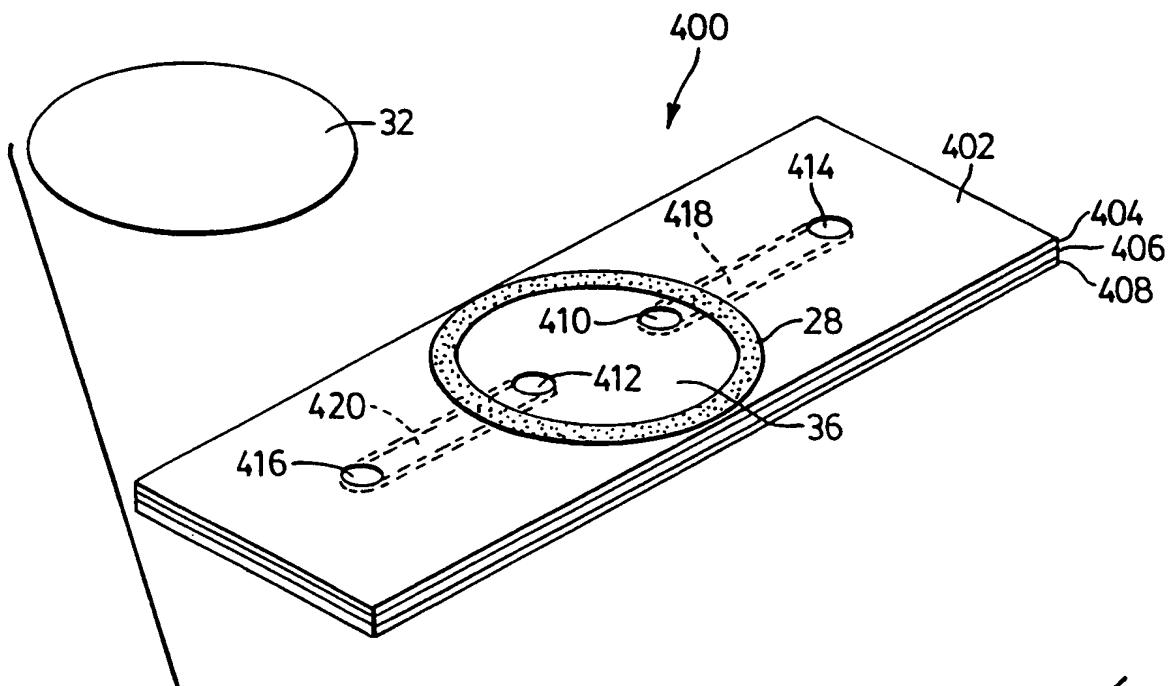
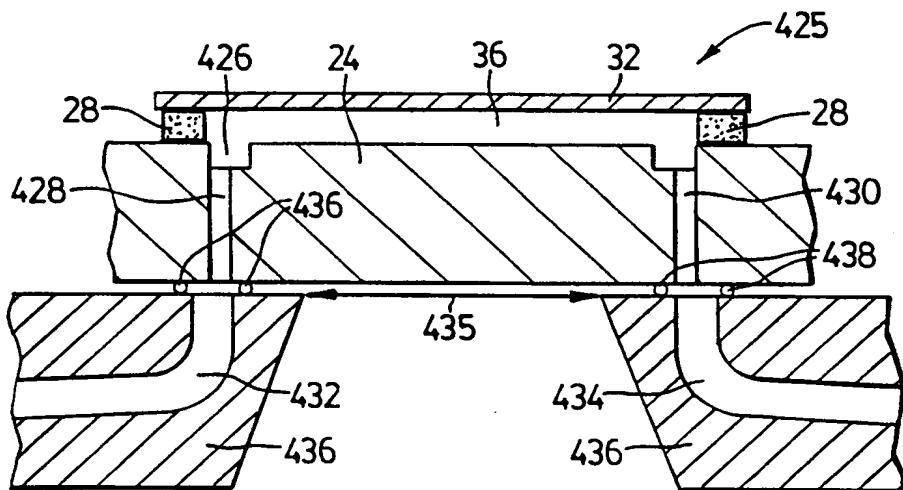
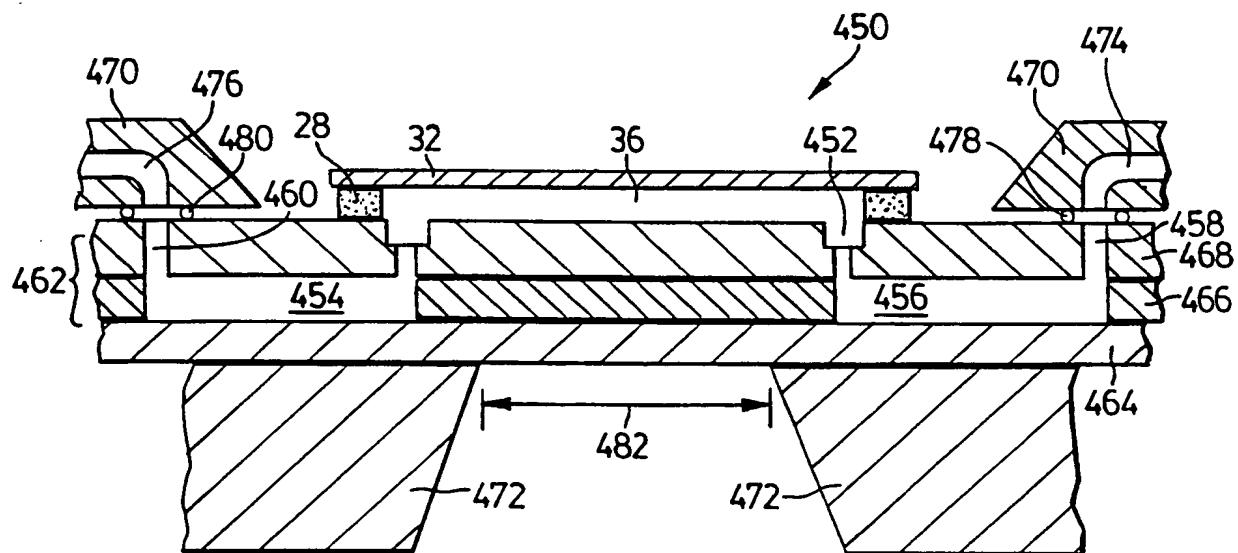


FIG. 10

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FIG. 11FIG. 12

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FIG. 13FIG. 14

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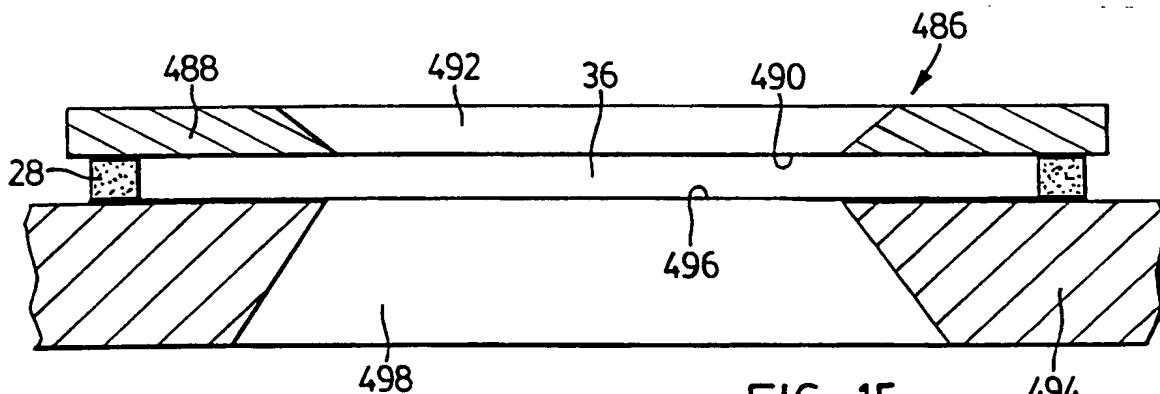
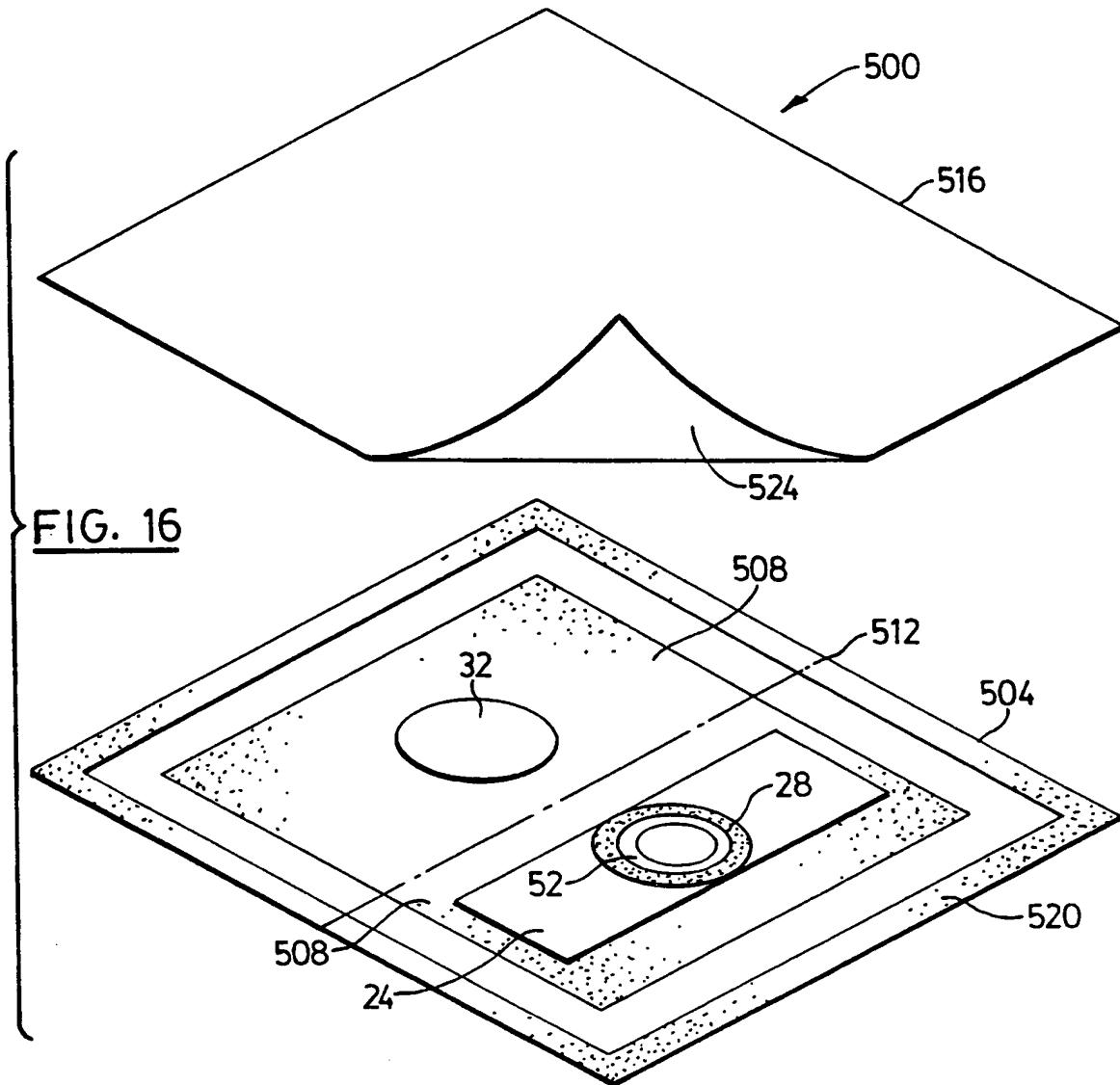


FIG. 15



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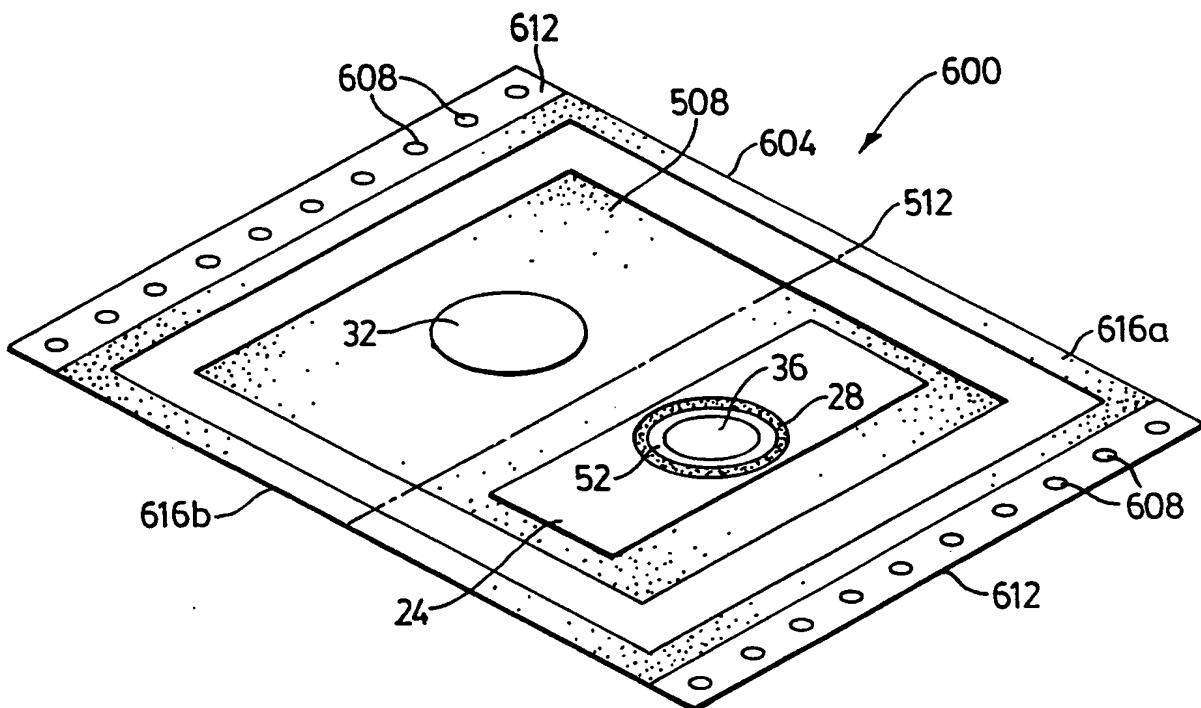


FIG. 17

# INTERNATIONAL SEARCH REPORT

national Application No

PCT/CA 98/00249

**A. CLASSIFICATION OF SUBJECT MATTER**

IPC 6 G02B21/34 B01L3/00

According to International Patent Classification(IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)

IPC 6 G02B B01L C12M

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	US 5 349 436 A (FISCH HARRY) 20 September 1994	1-3, 9, 16, 20, 40, 43 4-6, 10, 11, 17, 19
A	see column 3, line 23 - column 5, line 29; figures 1-4 ---	
Y	WO 95 31529 A (BIOMED DIAGNOSTICS INC) 23 November 1995	1-3, 9, 16, 20, 40, 43 11, 12, 38, 39, 44
A	see page 5, line 8 - page 6, line 3 see page 7, line 7 - page 9, line 5; figures 1-3, 5-7 ---	
		-/-



Further documents are listed in the continuation of box C.



Patent family members are listed in annex.

\* Special categories of cited documents :

- "A" document defining the general state of the art which is not considered to be of particular relevance
- "E" earlier document but published on or after the international filing date
- "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

"&" document member of the same patent family

Date of the actual completion of the international search

8 July 1998

Date of mailing of the international search report

21/07/1998

Name and mailing address of the ISA

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Authorized officer

THEOPISTOU, P

## INTERNATIONAL SEARCH REPORT

Int. ational Application No

PCT/CA 98/00249

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 4 790 640 A (NASON FREDERIC L) 13 December 1988  see column 3, line 45 - column 5, line 38 see column 6, line 50 - column 9, line 2; figures 1-3,7,8,11 -----	1,2,5,9, 10, 21-24,40
A	DE 39 15 920 A (MESSERSCHMITT BOELKOW BLOHM) 22 November 1990  see column 2, line 22 - column 7, line 50; figures 1-13 -----	21-37
A	US 4 674 846 A (LIPPMAN ROBERT) 23 June 1987  see column 2, line 38 - column 4, line 3; figures 1-6 -----	25,28, 33,35
A	EP 0 617 282 A (DIFCO LAB) 28 September 1994  see column 3, line 41 - column 5, line 16 see column 6, line 39 - column 7, line 2; figures 1,4 -----	5,7-14

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/CA 98/00249

Patent document cited in search report		Publication date	Patent family member(s)		Publication date
US 5349436	A	20-09-1994	US	RE35589 E	19-08-1997
WO 9531529	A	23-11-1995	US	5661029 A	26-08-1997
			AU	2550795 A	05-12-1995
US 4790640	A	13-12-1988	NONE		
DE 3915920	A	22-11-1990	NONE		
US 4674846	A	23-06-1987	NONE		
EP 0617282	A	28-09-1994	US	5411893 A	02-05-1995

## PATENT COOPERATION TREATY

RECD 20 AUGUST 1999

**PCT**

WIPO PCT

**INTERNATIONAL PRELIMINARY EXAMINATION REPORT**

(PCT Article 36 and Rule 70)

Applicant's or agent's file reference <b>H802542</b>	<b>FOR FURTHER ACTION</b>	See Notification of Transmittal of International Preliminary Examination Report (Form PCT/IPEA/416)
International application No. <b>PCT/CA98/00249</b>	International filing date (day/month/year) <b>20/03/1998</b>	Priority date (day/month/year) <b>21/03/1997</b>
International Patent Classification (IPC) or national classification and IPC <b>G02B21/34</b>		
Applicant <b>NORTHERN EDGE ASSOCIATES INC. et al.</b>		
<p>1. This international preliminary examination report has been prepared by this International Preliminary Examining Authority and is transmitted to the applicant according to Article 36.</p> <p>2. This REPORT consists of a total of 10 sheets, including this cover sheet.</p> <p><input checked="" type="checkbox"/> This report is also accompanied by ANNEXES, i.e. sheets of the description, claims and/or drawings which have been amended and are the basis for this report and/or sheets containing rectifications made before this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions under the PCT).</p> <p>These annexes consist of a total of 4 sheets.</p>		
<p>3. This report contains indications relating to the following items:</p> <ul style="list-style-type: none"> <li>I   <input checked="" type="checkbox"/> Basis of the report</li> <li>II   <input type="checkbox"/> Priority</li> <li>III   <input type="checkbox"/> Non-establishment of opinion with regard to novelty, inventive step and industrial applicability</li> <li>IV   <input checked="" type="checkbox"/> Lack of unity of invention</li> <li>V   <input checked="" type="checkbox"/> Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement</li> <li>VI   <input type="checkbox"/> Certain documents cited</li> <li>VII   <input checked="" type="checkbox"/> Certain defects in the international application</li> <li>VIII   <input checked="" type="checkbox"/> Certain observations on the international application</li> </ul>		

Date of submission of the demand <b>19/10/1998</b>	Date of completion of this report <b>01.07.99</b>
Name and mailing address of the international preliminary examining authority: European Patent Office D-80298 Munich Tel. (+49-89) 2399-0 Tx: 523656 epmu d Fax: (+49-89) 2399-4465	Authorized officer  <b>Casse, M</b>  Telephone No. (+49-89) 2399 2769



# INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No. PCT/CA98/00249

## I. Basis of the report

1. This report has been drawn on the basis of (*substitute sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to the report since they do not contain amendments.*):

### Description, pages:

1-28 as originally filed

### Claims, No.:

1-43 as received on 07/06/1999 with letter of 02/06/1999

### Drawings, sheets:

1/9-9/9 as originally filed

2. The amendments have resulted in the cancellation of:

- the description, pages:
- the claims, Nos.:
- the drawings, sheets:

3.  This report has been established as if (some of) the amendments had not been made, since they have been considered to go beyond the disclosure as filed (Rule 70.2(c)):

4. Additional observations, if necessary:

## IV. Lack of unity of invention

1. In response to the invitation to restrict or pay additional fees the applicant has:

- restricted the claims.
- paid additional fees.
- paid additional fees under protest.
- neither restricted nor paid additional fees.

**INTERNATIONAL PRELIMINARY  
EXAMINATION REPORT**

International application No. PCT/CA98/00249

2.  This Authority found that the requirement of unity of invention is not complied and chose, according to Rule 68.1, not to invite the applicant to restrict or pay additional fees.
3. This Authority considers that the requirement of unity of invention in accordance with Rules 13.1, 13.2 and 13.3 is
  - complied with.
  - not complied with for the following reasons:  
**see separate sheet**
4. Consequently, the following parts of the international application were the subject of international preliminary examination in establishing this report:
  - all parts.
  - the parts relating to claims Nos. .

**V. Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement**

1. Statement

Novelty (N)	Yes:	Claims 1-43
	No:	Claims
Inventive step (IS)	Yes:	Claims 3-6,10-13,16,24,32,34-37
	No:	Claims 1-2,7-9,14,15,17-23,25-31,33,38-43
Industrial applicability (IA)	Yes:	Claims 1-43
	No:	Claims

2. Citations and explanations

**see separate sheet**

**VII. Certain defects in the international application**

The following defects in the form or contents of the international application have been noted:

**see separate sheet**

**INTERNATIONAL PRELIMINARY  
EXAMINATION REPORT**

International application No. PCT/CA98/00249

**VIII. Certain observations on the international application**

The following observations on the clarity of the claims, description, and drawings or on the question whether the claims are fully supported by the description, are made:

**see separate sheet**

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**Re Item IV**

Lack of unity of invention

1.) The subject-matter of independent claim 1 appears to lack an inventive step (see the grounds for this objection). The requisite unity of invention (Rule 13.1 PCT) therefore no longer exists inasmuch as a technical relationship involving one or more of the same or corresponding special technical features in the sense of Rule 13.2 PCT does not exist between the subject-matter of the following groups of dependent claims:

- Claims 3 to 6, Active element between adhesive and expansion volume;
- Claims 10 to 13, slide and cover packaging system;
- Claim 16, adhesive in recess;
- Claim 24, slide and cover with a capacitance electrode structure;
- Claim 32, slide with a piezoelectric transducer;
- Claims 34 to 37, slide base comprising an optically active element.

**Re Item V**

Reasoned statement under Rule 66.2(a)(ii) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

1.) Reference is made to the following documents:

D1 = US-A-3 879 106  
D2 = US-A-5 349 436  
D3 = WO 95/31529  
D4 = US-A-4 595 561  
D5 = US-A-4 231 660  
D6 = US-A-4 674 846  
D7 = US-A-3 556 633  
D8 = US-A-4 387 972

Documents D1; D4 and D5, with D7 and D8 introduced by the applicant, were not cited in the international search report.

2.1) Document D1 is regarded as being the closest prior art to the subject-matter of claim 1, and shows in figure 3 (the references applying to this document) a slide for microscopy comprising:

a planar slide base 11,  
a cover slip 13,  
an expansion volume formed in the cover slip surrounding the sample area 29,  
adhesive means 51 disposed on a surface of either the cover slip or the slide base (column 3, lines 10-20) surrounding the expansion volume and the sample area.

The subject-matter of claim 1 differs in that the expansion volume is formed in the slide base instead of the cover slip. The problem to be solved by the present invention may therefore be regarded as providing a sealed slide system with an expansion volume, wherein the cover slip is planar.

2.2) The solution proposed in Claim 1 of the present application cannot be considered as involving an inventive step (Article 33(3) PCT) for the following reasons:

The provision of expansion volumes on the slide base with the use of planar cover slips has already been disclosed in document D2 (figure 1a or figure 4) and D8 (figure 1 and 2). It would therefore be an obvious modification of the slide system of D1 to place the expansion volumes in the slide base instead of forming them in the slide to obtain a planar upper surface.

Although D2 and D8 form the expansion and the sample volumes by silk screening on the slide and therefore these volumes are not strictly formed *in* the slide, these solutions are done for ease of manufacturing as an alternative to ground or etched slide bases (D2, col. 1, l. 28-32 and D8 col. 8, l. 13-15, l. 64-65). Forming the expansion volume directly *in* the slide base is a straightforward alternative to the silk screening method which falls under customary practice for the person skilled in the art (volumes formed in a slide base are known for example from D7, figure 1 and 4 for example).

The subject matter of claim 1 is therefore lacking an inventive step.

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2.3) Due to the wide variety of microscope configurations, the "slide base" and the "cover slip" have often a relative meaning. For example, when used in an inverted microscope where the objective is located under the sample, the slide of D1 would offer the same advantages, in particular a planar observation "window", as the slide of claim 1 used in a conventional upright microscope. It would therefore be straightforward to design a slide system with the same advantages for a conventional upright microscope.

Ultimately, although no novelty objection under Article 33(2) PCT is formally made, it could also be possible to flip the slide system of D1 and consider that the planar glass plate 11 and the shaped element 13 constitute the cover slip and the base respectively.

2.4) The method steps in claim 38 correspond merely to the assembly of the slide of D1 with the expansion volume on the slide base. Claim 38 does not appear to be inventive either. Dependent claims 39 and 40 are obvious slide preparation precautions not involving an inventive activity.

3.) The following dependent claims do not contain any features which, in combination with the features of any claim to which they refer, could define inventive subject matter, the reasons being as follows:

3.1) D1 foresees the provision of the adhesive on the slide base (column 3, lines 10-20) (claim 2)

3.2) D1 provides a test material 39 applied in the sealed sample area col. 3, lines 31-36) (claim 7).

- Providing different reagents on facing sides of a sample volume is a structure used in foldable medical test strips for example. It would be an obvious possibility for the person skilled in the art to incorporate it in a microscope slide according to D1 (claim 8).

Stains are well-known reagents for biological analyses (claim 36) and it would be obvious to use a sealed microscope slide for biohazardous materials as done with the sealed microscope observation tray of D3 (page 7, lines 18-23) (claim 37).

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- 3.3) Medical supplies are usually provided in sterile sealed packages. It would be therefore obvious to provide the slide of D1 in a sterile wrapper since D1 provides at least the cover slip in a sealed package (col.3, l. 33-36) and is concerned with keeping the slide sterile until use (col. 4, lines 6-8). (claim 9)
- 3.4) It is obvious that the different elements of D1 have a predetermined thickness to yield a given overall thickness; see column 3, line 63 to column 4, line 5 (claim 14). The subject matter of claim 15 appears to define a slight constructional change which could be further applied to the slide system of D1 with no inventive activity especially as the advantages thus achieved can readily be foreseen.

Document D4 shows in the embodiments of figure 1 a sealed microscope slide (col. 2, l. 49-51) with an overflow chamber 28 comprising a spacer 12 with adhesives on each side (col. 2, l. 57-64). The discussion about the inventive step of claim 1 is also relevant with D4 as the closest prior art.  
The subject matter of claim 17 is therefore not inventive. Furthermore, the remarks set forth in point 2.3 above seem particularly relevant in the case of D4, since the slide and the base have the same thickness.

- 3.5) Releasable adhesives were used for the same purpose in D3, see page 2, lines 28 and 29 (claim 18).
- 3.6) Microscope slides incorporating microflow chambers are known in the art to provide a sample volume with nutrients or reactive chemicals. The subject matter of claims 19 to 22 does not appear therefore to involve an inventive step.
- 3.7) Microscope slides incorporating electrodes are known from document D5, which shows in figures 1 and 2 a microscope slide 1 comprising an array of electrodes 2 within a sample area 11 with conductors 2 extending outside. (claim 23)

The electrodes of D5 are also covered with a coating (col. 1, l. 63-68). The coating requirements set forth in claims 25, 27, 28 and 29 are explained in D5 (col. 2, l. 52-53 and col. 5, lines 17-28).

The subject matter of claims 23, 25, 27, 28 and 29 does not appear therefore to

involve an inventive step.

The subject matter of claim 26 appears to be a constructional change in the electrodes of D5 which comes within the scope of the customary practice followed by persons skilled in the art, especially as the advantages thus achieved can readily be foreseen. Consequently, the subject-matter of claim 26 also lacks an inventive step.

- 3.8) Concerning the subject matter of claim 31, document D6 shows in figure 4 and 5 a slide base 22a incorporating optical fibres 51 acting as a light source to illuminate a sample volume 21a. The subject matter of claim 33 is not considered as inventive
- 4.) The subject matter of claim 41 differs from claim 1 in that the adhesive layer is absent and in that the sample area is at a level lower than the outer slide base surface such that a sample volume is defined between the sample area and the cover slip.

In addition to the reasons exposed in the objections of point 2. above, the subject matter of claim 41 appears to lack an inventive step since the structure of a sample area which is not flush with the outer surface of the corresponding slide element is already disclosed in D1 figure 2 with the sample area 21 and the outer surface 23 at different levels.

The features of claim 41 correspond therefore to the "inverted" slide structure of D1 using a planar cover slip; claim 41 lacks therefore an inventive step.

Claim 42 and 43 appear also to lack an inventive step for the reasons exposed in point 3.2 above.

- 5) In view of the available prior art, the following claims appear to meet the requirements of Article 33(2,3,4) PCT:

- Claims 3 to 6, Active element between adhesive and expansion volume avoiding cross contamination between the adhesive and the sample;

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- Claims 10 to 13, slide and cover packaging system allowing an automatic slide assembly;
- Claim 16, adhesive in recess enabling a reduced sample volume;
- Claim 24, slide and cover with a capacitance electrode structure;
- Claim 32, slide with a piezoelectric transducer;
- Claims 34 to 37, optically active elements included in the slide base;

**Re Item VII**

Certain defects in the international application

- 1.) Contrary to the requirements of Rule 5.1(a)(ii) PCT, the relevant background art disclosed in the documents D1 and D4 is not mentioned in the description, nor are these documents identified therein.
- 2.) The applicant has not provided reasons why the independent claims should not be in the two-part form. Neither did he clearly indicate in the description which features of the subject-matter of claim 1 are already known from document D1; see PCT Guidelines PCT/GL/3 III, 2.3a.
- 3.) The features of the claims are not provided with reference signs placed in parentheses (Rule 6.2(b) PCT).

**Re Item VIII**

Certain observations on the international application

- 1.) The term "active element" used in claims 3 is vague and unclear and leaves the reader in doubt as to the meaning of the technical features to which it refers, thereby rendering the definition of the subject-matter of said claims unclear (Article 6 PCT).
- 2.) Although claims 1 and 41 have been drafted as separate independent claims, they appear to relate effectively to the same subject-matter and to differ from each other only with regard to the definition of the subject-matter for which protection is sought. The aforementioned claims therefore lack conciseness.  
Hence, claims 1 and 43 do not meet the requirements of Article 6 PCT.